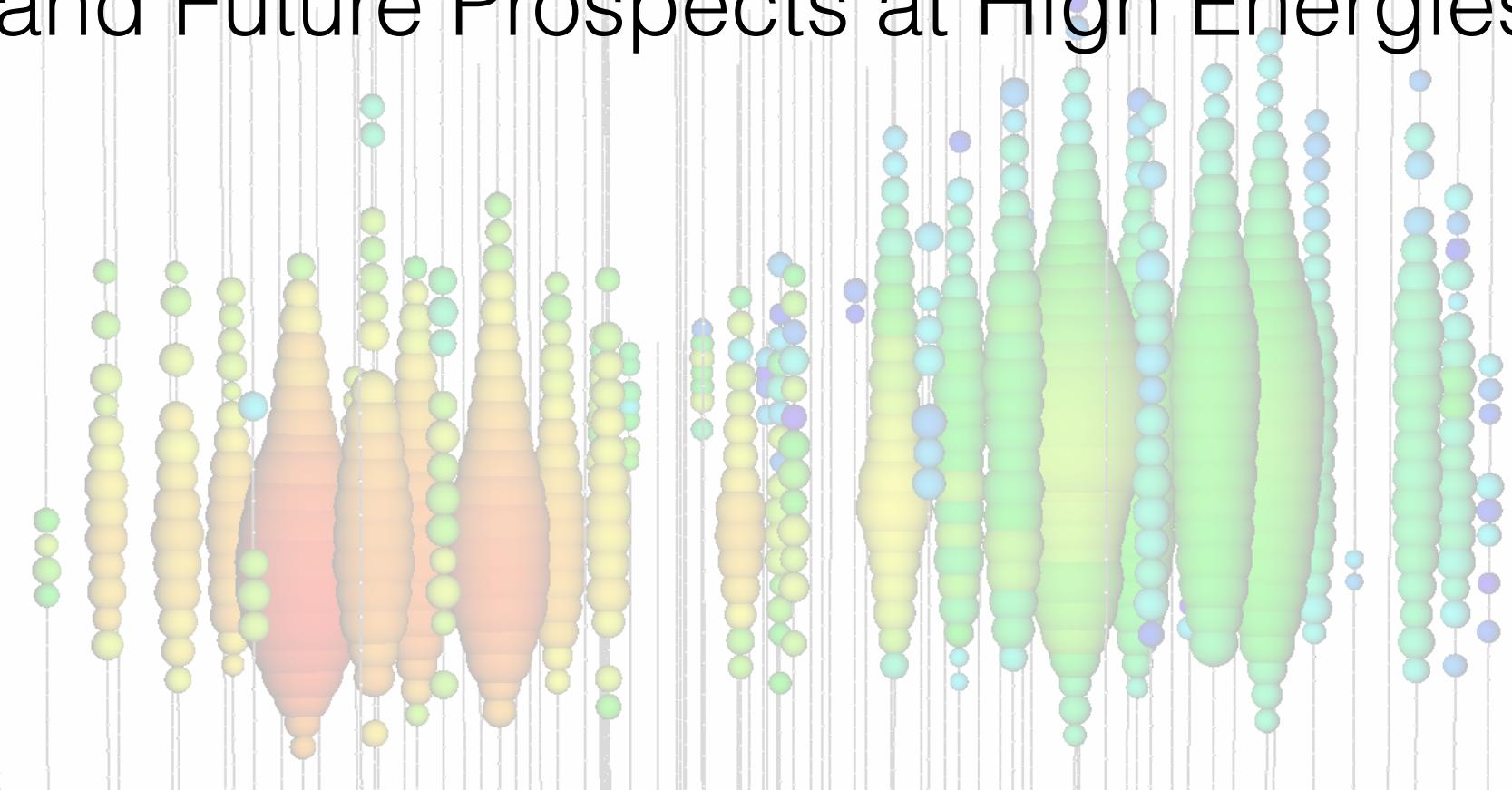


# Reconstruction, Flavor Identification and Future Prospects at High Energies



NuTau 2021

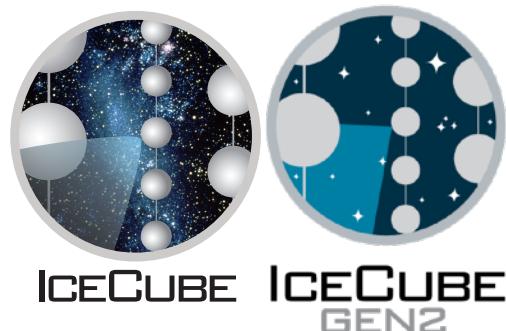
September 30 2021

Juliana Stachurska

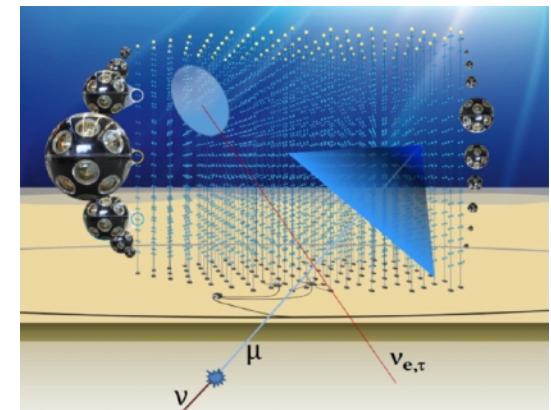
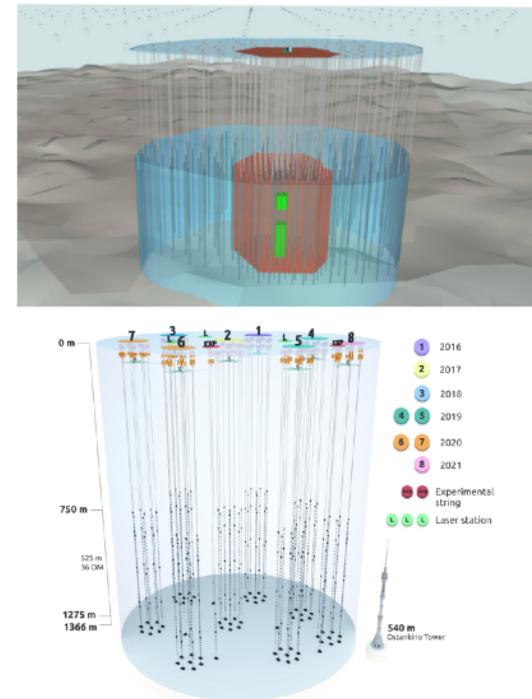


Massachusetts  
Institute of  
Technology

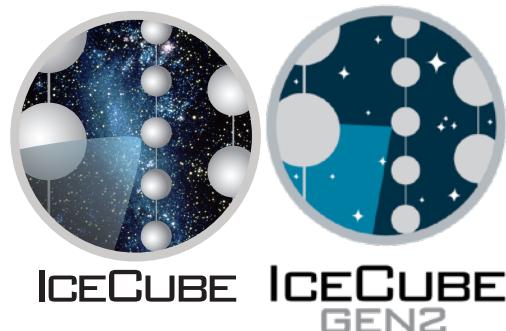
# Experiments Covered



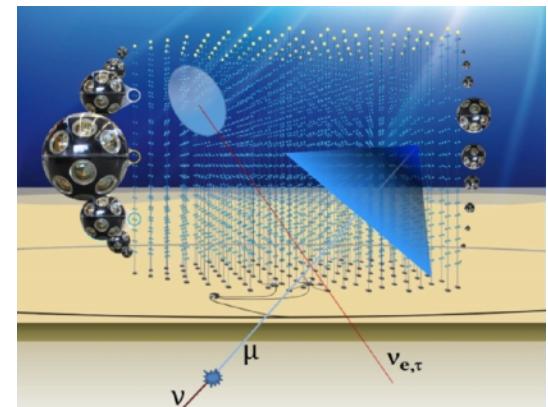
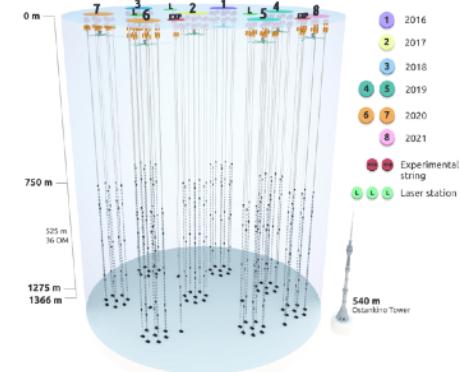
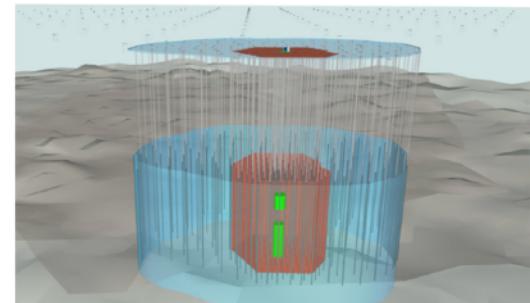
- IceCube / IceCube-Gen2:  
Ice Cherenkov
- Baikal-GVD:  
(Lake) water Cherenkov
- KM3NeT/ARCA:  
(Salt) Water Cherenkov



# Experiments Covered

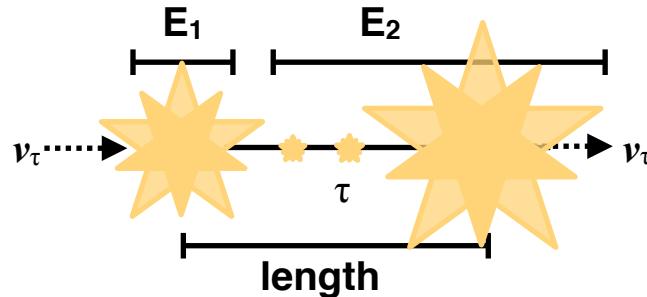


- IceCube / IceCube-Gen2:  
Ice Cherenkov
- Baikal-GVD:  
(Lake) water Cherenkov
- KM3NeT/ARCA:  
(Salt) Water Cherenkov

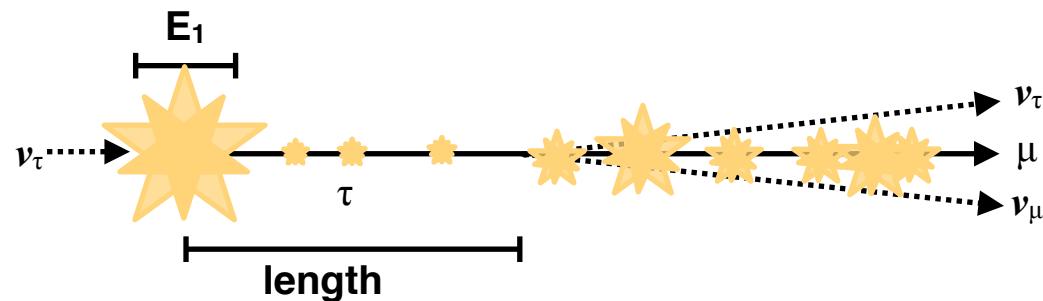


See talk by Dawn Williams

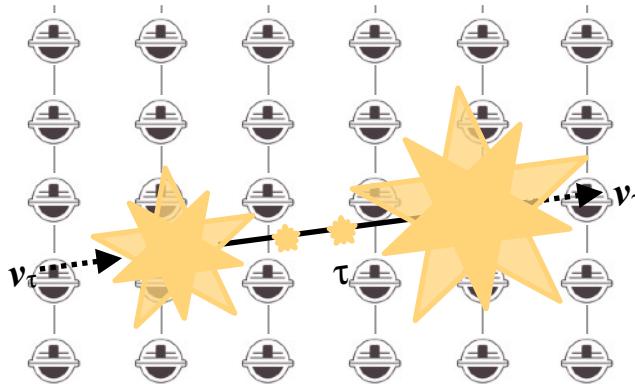
# Tau Neutrino Signatures



→ Double Bangs,  
Double Cascades,  
Double Pulses  
(83% branching ratio)



→ Tracks (not unique)  
(17% branching ratio)

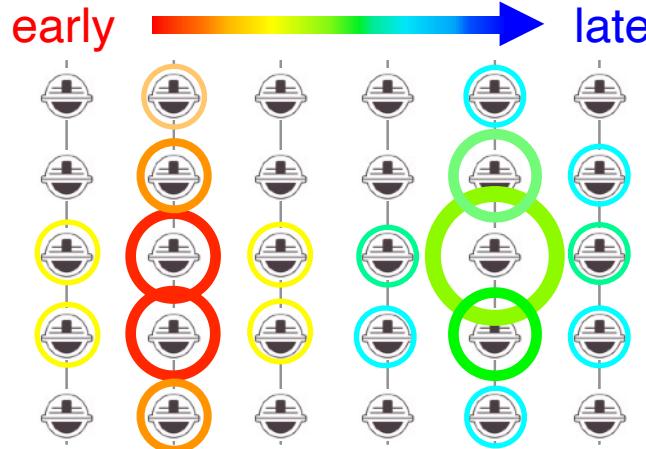


# Double Bang

- 2 clearly separated energy depositions\*
- Identification by-eye
- Maximum likelihood cascade reconstruction



# Double Bang

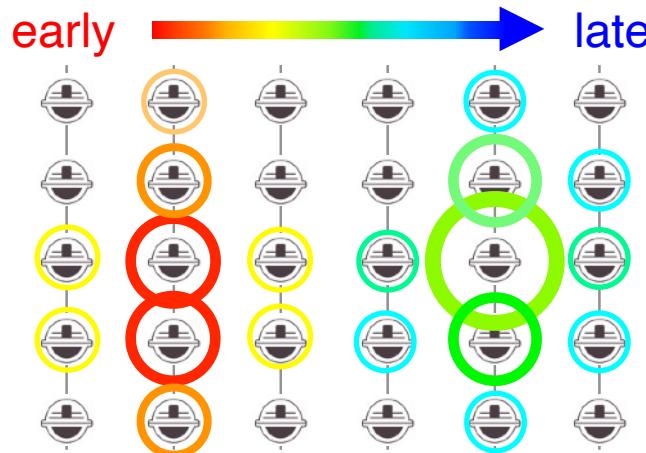


- 2 clearly separated energy depositions\*
- Identification by-eye
- Maximum likelihood cascade reconstruction



ICECUBE

# Double Bang

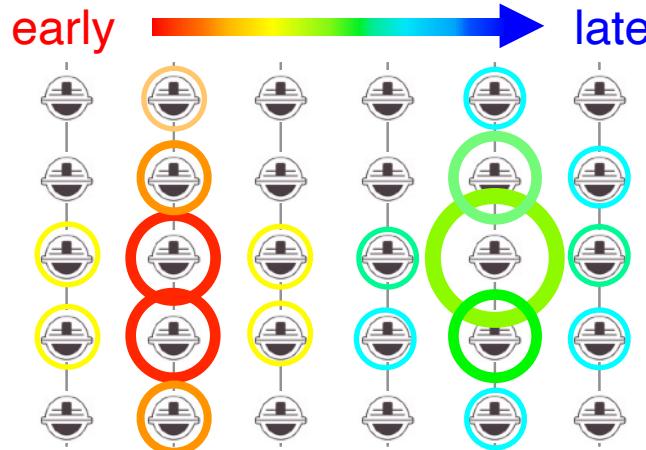


- 2 clearly separated energy depositions\*
- Identification by-eye
- Maximum likelihood cascade reconstruction

***"At a few PeV these cascades would be separated by roughly 100 m, and thus be easily resolvable in DUMAND and similar detectors."\*\****



# Double Bang

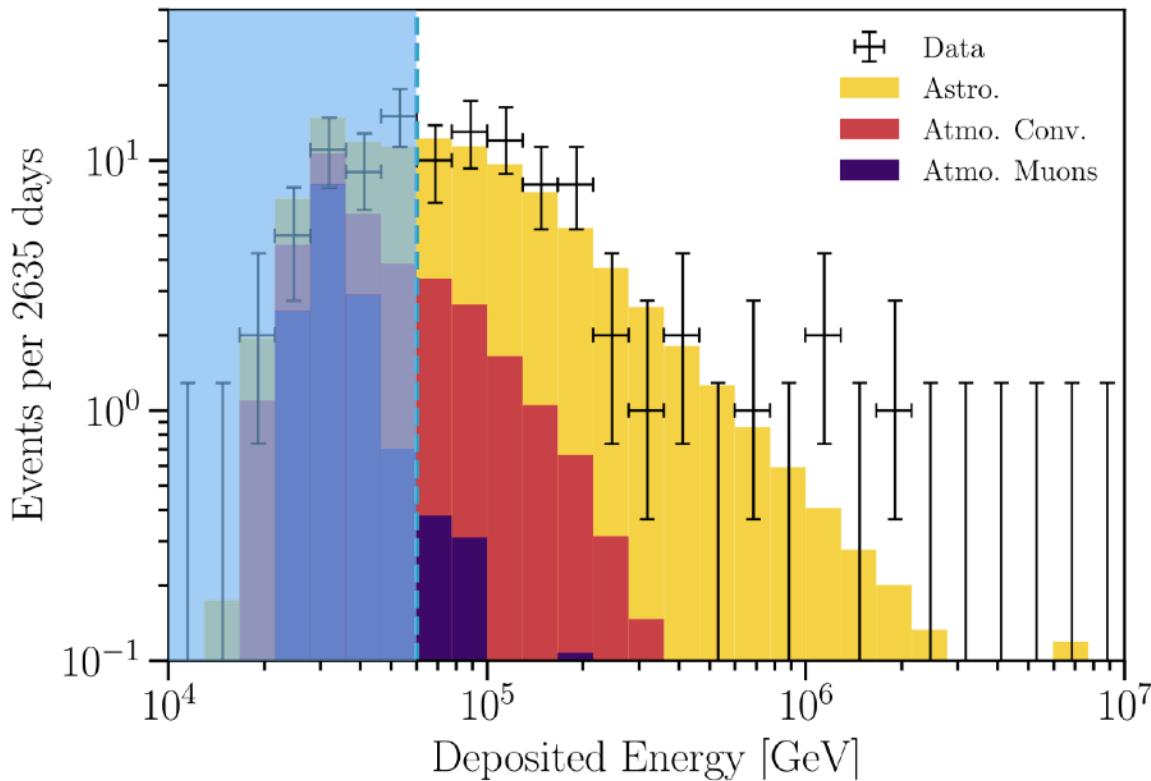


- 2 clearly separated energy depositions\*
- Identification by-eye
- Maximum likelihood cascade reconstruction

***"At a few PeV these cascades would be separated by roughly 100 m, and thus be easily resolvable in DUMAND and similar detectors."\*\****

- + Simple, robust
- Large separations = high energies:  $\langle L_\tau \rangle \sim 50 \text{ m} \cdot E_\tau \text{ [PeV]}$   
extremely rare events!
- Background: almost none\* (note: coincident events, muons hiding in sparse detectors)

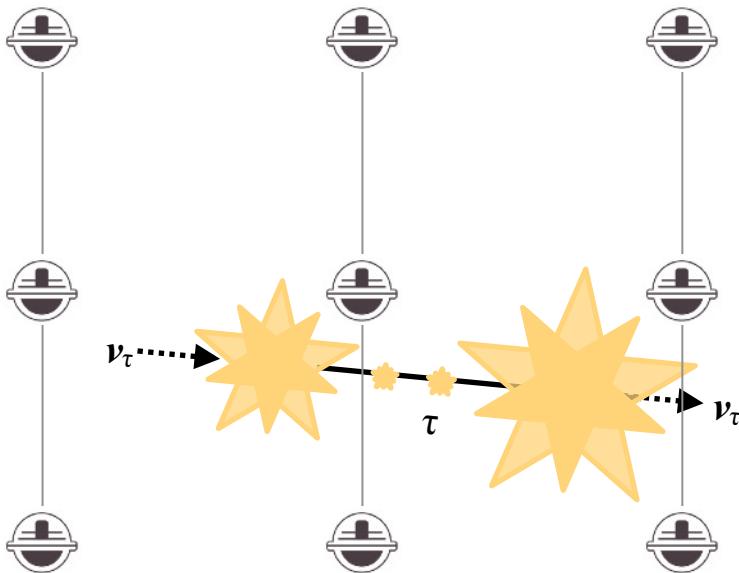
# Astrophysical Neutrino Spectrum



- Latest all-flavor spectral measurement:  
HESE 7.5 years\*
- 60 events  $> 60$  TeV
- Spectrum:  $E^{-\gamma}$  with  
 $\gamma = 2.87^{+0.20}_{-0.19}$

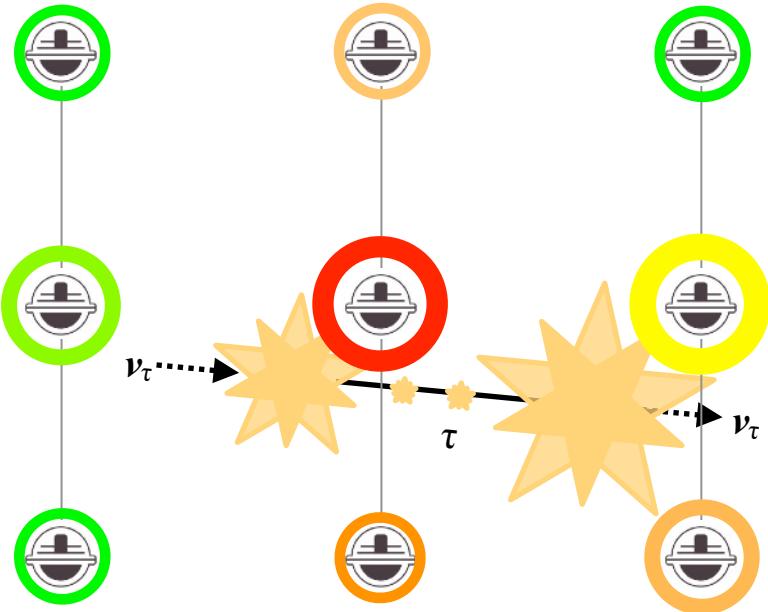
- Need to wait for many more years for Double Bang
- Or: lower the thresholds, understand backgrounds & systematic uncertainties

# Double Cascade



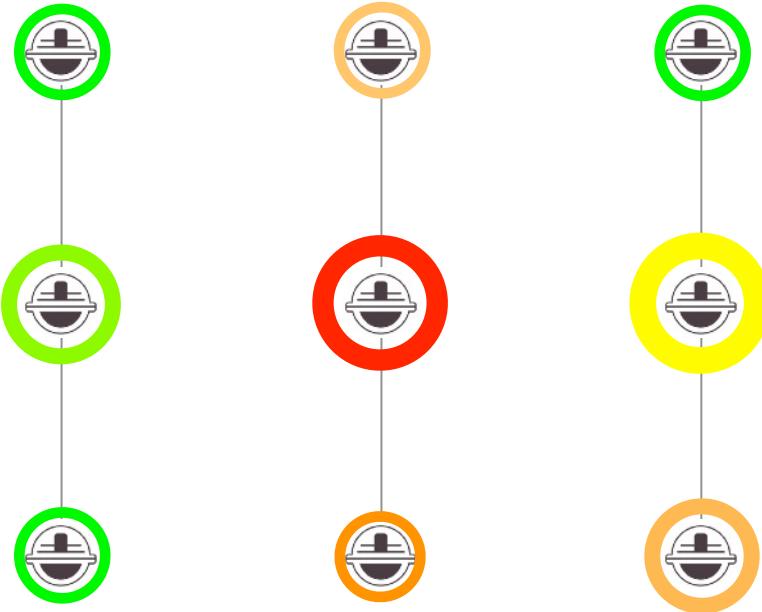
- 2 separated energy depositions, but typically not visible by-eye
- Direct maximum likelihood (ML) reconstruction for double cascade hypothesis\*

# Double Cascade



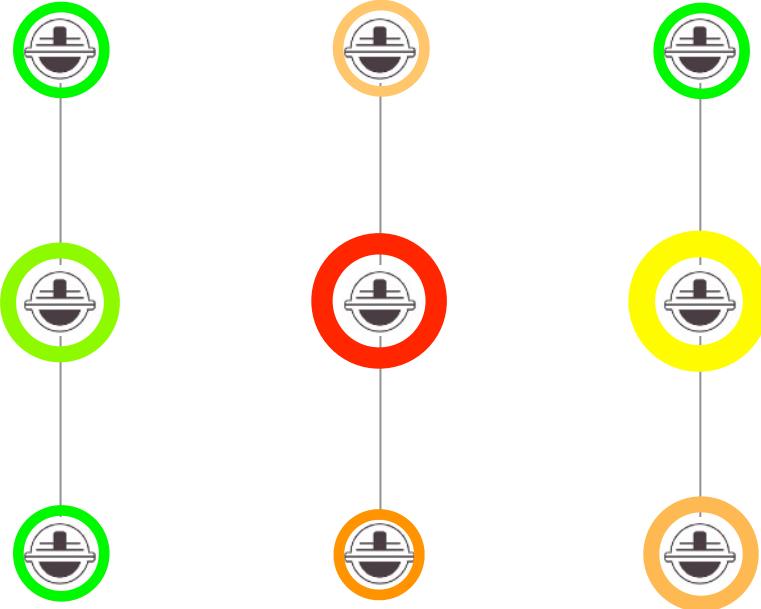
- 2 separated energy depositions, but typically not visible by-eye
- Direct maximum likelihood (ML) reconstruction for double cascade hypothesis\*

# Double Cascade



- 2 separated energy depositions, but typically not visible by-eye
- Direct maximum likelihood (ML) reconstruction for double cascade hypothesis\*

# Double Cascade



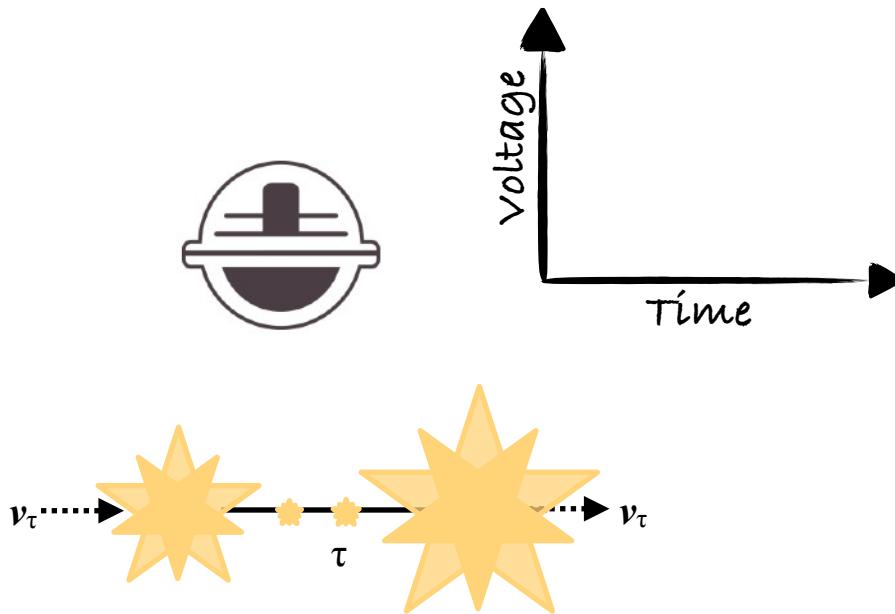
- 2 separated energy depositions, but typically not visible by-eye
- Direct maximum likelihood (ML) reconstruction for double cascade hypothesis\*

- + Lower energy threshold: larger statistics  
Same ML framework used for all flavors for flavor composition
- Timing of arriving photons critical: sensitive to knowledge of photon propagation through medium  
Background: single cascades close to analysis threshold



ICECUBE

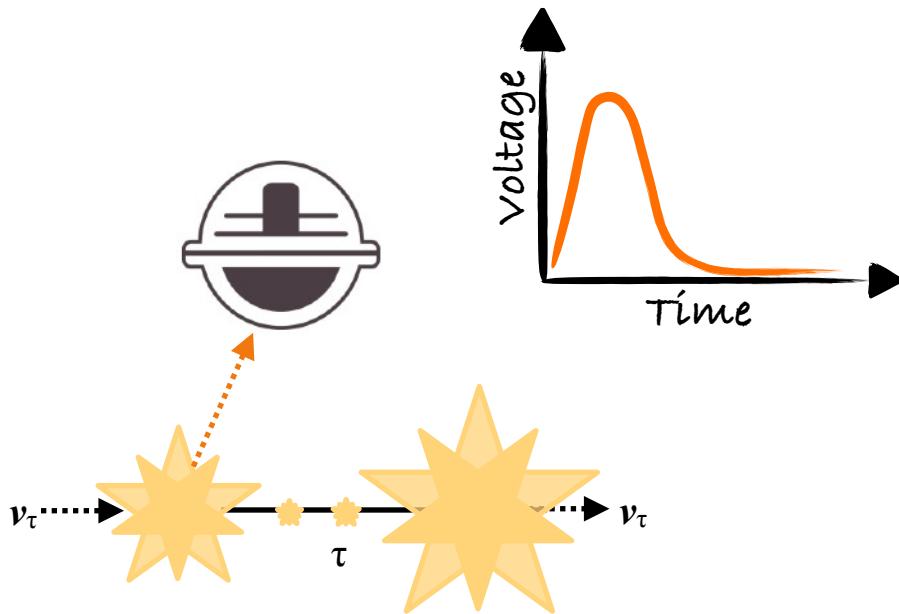
# Double Pulse



- 2 energy depositions, typically with small distances, identified on one PMT\*
- Only created for events with both energy losses close to PMT
- Needs time-binned readout



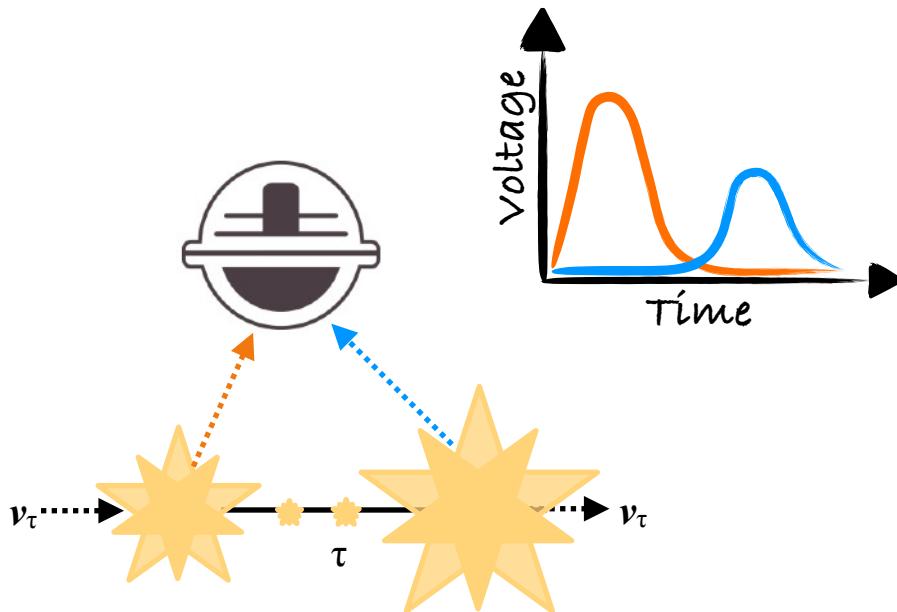
# Double Pulse



- 2 energy depositions, typically with small distances, identified on one PMT\*
- Only created for events with both energy losses close to PMT
- Needs time-binned readout



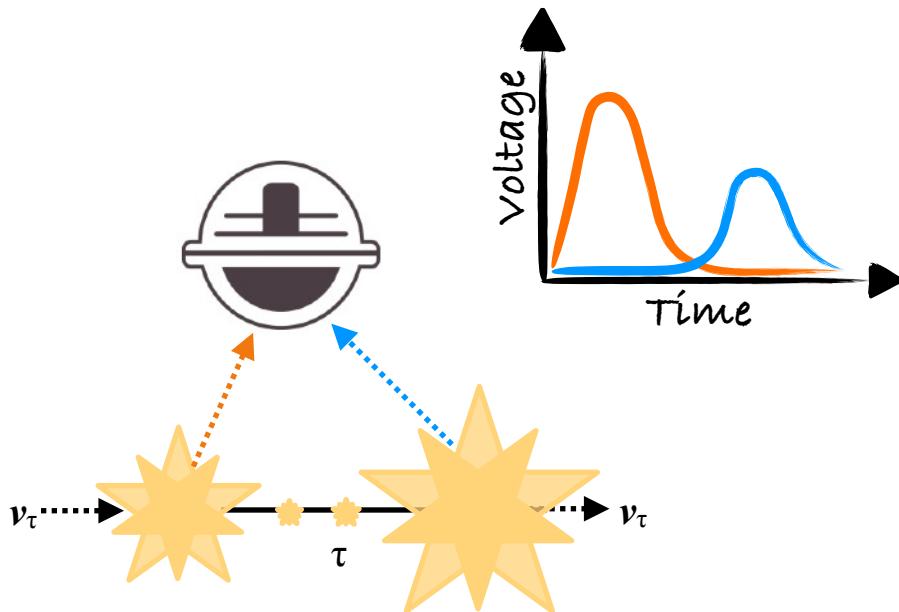
# Double Pulse



- 2 energy depositions, typically with small distances, identified on one PMT\*
- Only created for events with both energy losses close to PMT
- Needs time-binned readout



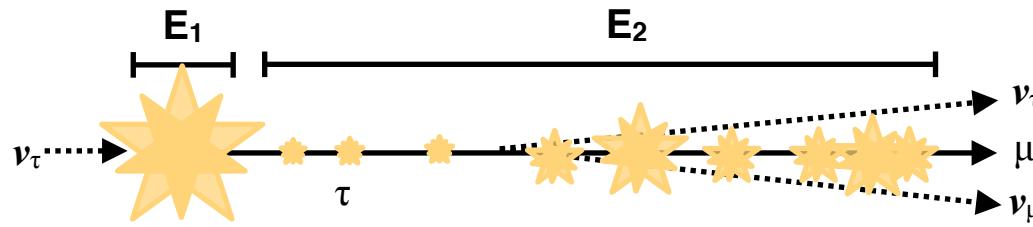
# Double Pulse



- 2 energy depositions, typically with small distances, identified on one PMT\*
- Only created for events with both energy losses close to PMT
- Needs time-binned readout

- + Can in principle have lower length end energy threshold
- Depends highly on event happening close to PMT
- Background: muons create Double Pulses  
No reconstruction, just identification of interesting events

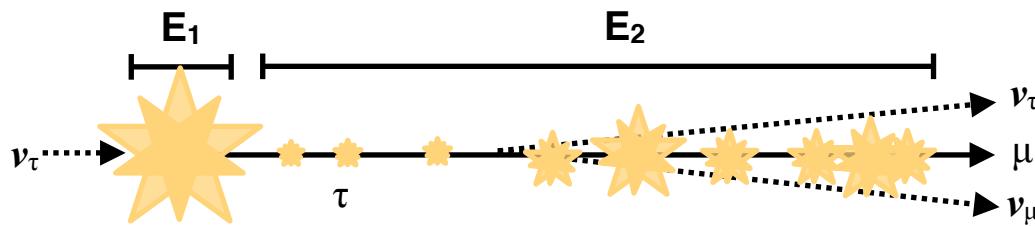
# Starting Tracks



- Inelasticity distribution different for  $\nu_\tau$  than  $\nu_\mu^*$
- Statistic method to measure contributions to starting tracks



# Starting Tracks



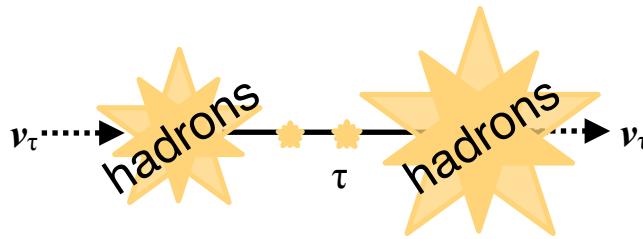
See talk by Spencer Klein

- Inelasticity distribution different for  $\nu_\tau$  than  $\nu_\mu^*$
- Statistic method to measure contributions to starting tracks

Low energy threshold

Indirect method, limited sensitivity to  $\nu_\tau$   
Relies on good modeling of energy losses  
Low branching fraction

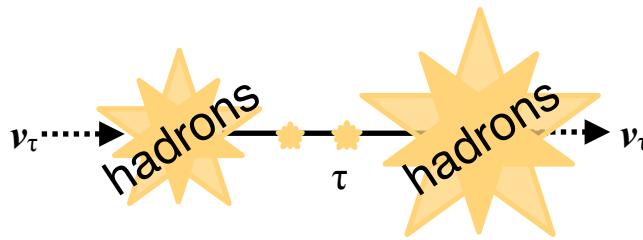
# Echoes<sup>1</sup>



- Hadronic cascade contains muons and neutrons
- Muons decay, neutrons get captured on H
- Search for afterglows<sup>1,2</sup>

1. S. Li et al., PRL 123 (2019)  
2. A. Steuer, PoS(ICRC2017) 1008  
3. A. Pollmann, PoS(ICRC2021) 1093

# Echoes<sup>1</sup>



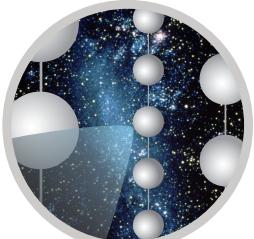
- Hadronic cascade contains muons and neutrons
- Muons decay, neutrons get captured on H
- Search for afterglows<sup>1,2</sup>

 Low energy threshold

- Indirect method, NC cascades are also hadronic
- Relies on good modeling of energy losses & medium properties<sup>2</sup>, e.g. luminescence<sup>3</sup>
- Late data typically not saved

1. S. Li et al., PRL 123 (2019)  
 2. A. Steuer, PoS(ICRC2017) 1008  
 3. A. Pollmann, PoS(ICRC2021) 1093

# Current Status



ICECUBE



- **IceCube:**

- ~1km<sup>3</sup> instrumented volume, 10 years of full operations
- 0 Double Bangs, 2 Double Cascades<sup>1</sup>, 2 Double Pulses<sup>2,3</sup>
- Enhanced Double Pulse analysis in pipeline

- **Baikal GVD:**

- under construction, 8 clusters of 8 strings installed, 0.4km<sup>3</sup>
- 1 Double Bang<sup>4</sup>
- Double Cascade and Double Pulse methods tested on simulation<sup>4</sup>

- **KM3NeT / ARCA:**

- under construction, 9 DUs (strings) installed - 3 this month!
- Double Cascade reconstruction method tested on simulation<sup>5</sup>

1. JS, PoS(ICRC2019) 1015
2. D. Xu, L. Wille, PoS(ICRC2019) 1036
3. M. Meier, J. Soedingrekso, PoS(ICRC2019) 960
4. Eeden et al., PoS(ICRC2021) 1089
5. E. Eckerova, PoS(ICRC2021) 1167

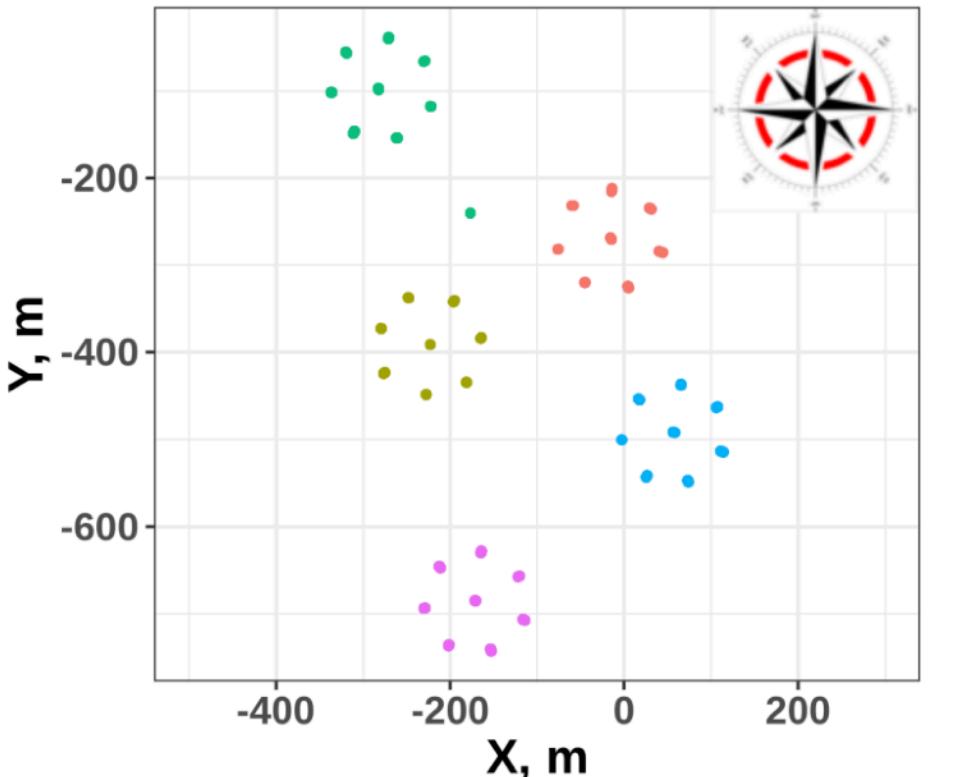


# Caveat: Sparse Layout

## BAIKAL-GVD 2019

Top view

F. Simkovic, PoS (ICRC2019) 1012



### Cluster

- 1 (2016)
- 2 (2017)
- 3 (2018)
- 4 (2019)
- 5 (2019)

- Double Bang: two cascades in two clusters
- Signature can be mimicked by horizontal muon
- Sparse layout: blind spots (will improve with more clusters)

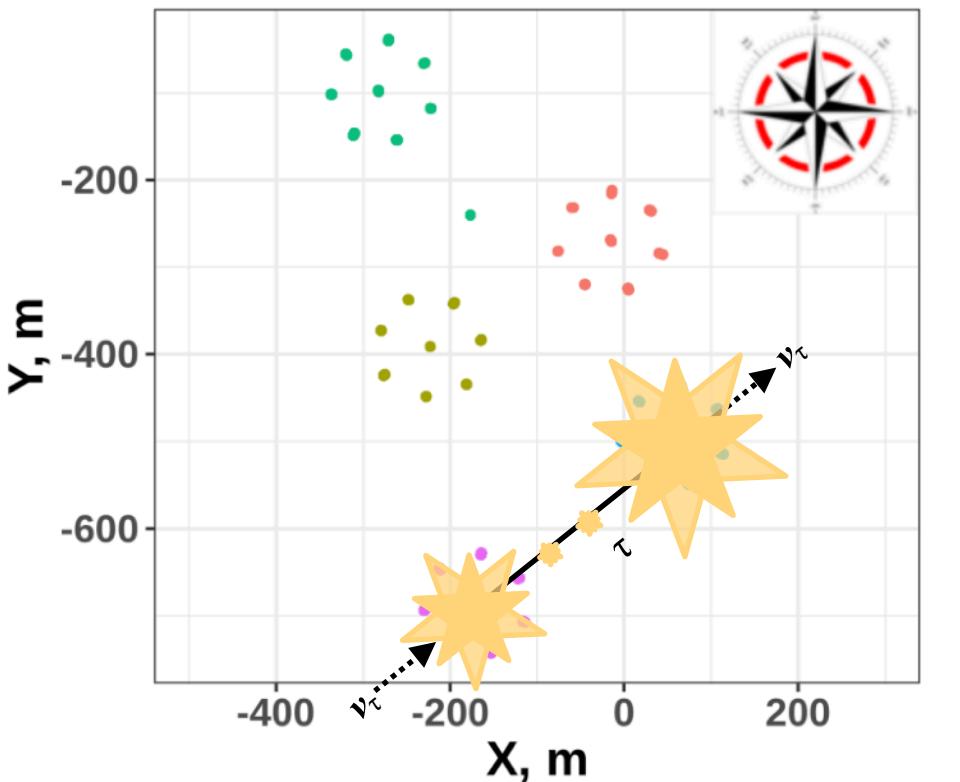


# Caveat: Sparse Layout

## BAIKAL-GVD 2019

Top view

F. Simkovic, PoS (ICRC2019) 1012



### Cluster

- 1 (2016)
- 2 (2017)
- 3 (2018)
- 4 (2019)
- 5 (2019)

- Double Bang: two cascades in two clusters
- Signature can be mimicked by horizontal muon
- Sparse layout: blind spots (will improve with more clusters)

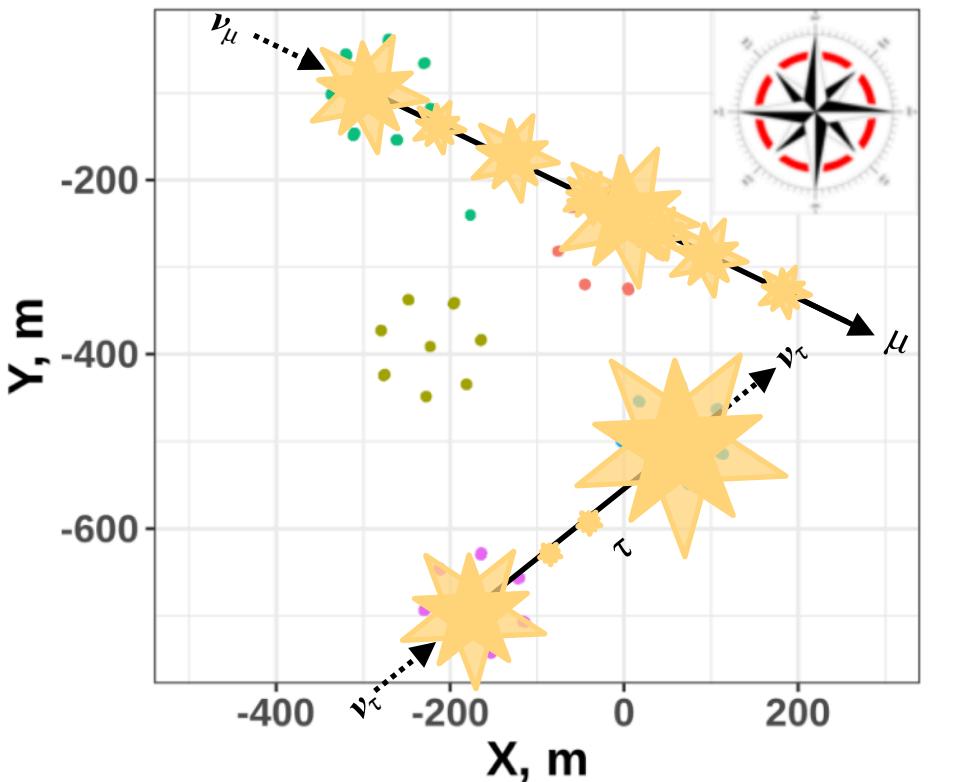


# Caveat: Sparse Layout

## BAIKAL-GVD 2019

Top view

F. Simkovic, PoS (ICRC2019) 1012



### Cluster

- 1 (2016)
- 2 (2017)
- 3 (2018)
- 4 (2019)
- 5 (2019)

- Double Bang: two cascades in two clusters
- Signature can be mimicked by horizontal muon
- Sparse layout: blind spots (will improve with more clusters)

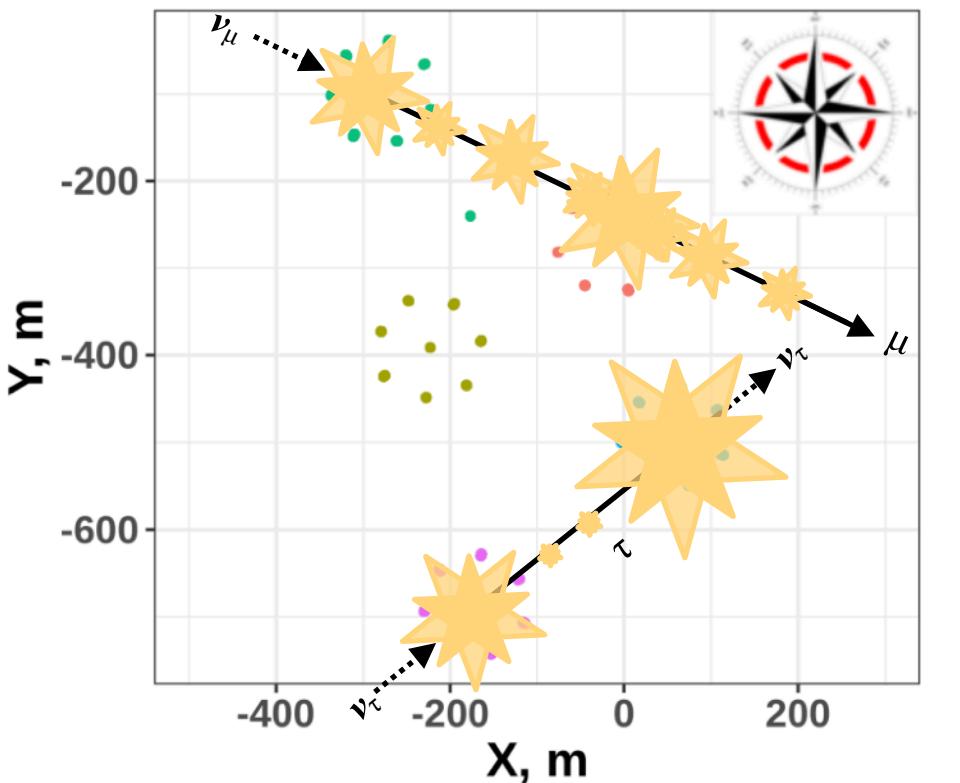


# Caveat: Sparse Layout

## BAIKAL-GVD 2019

Top view

F. Simkovic, PoS (ICRC2019) 1012

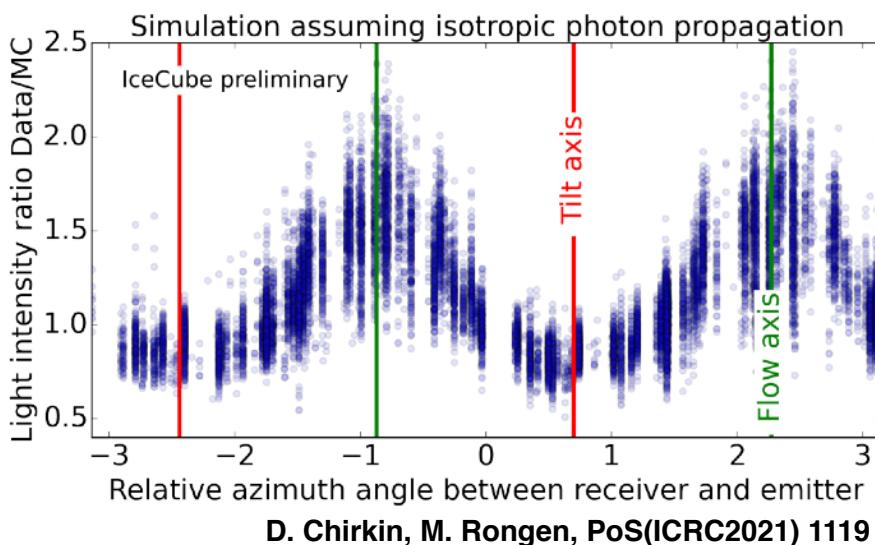
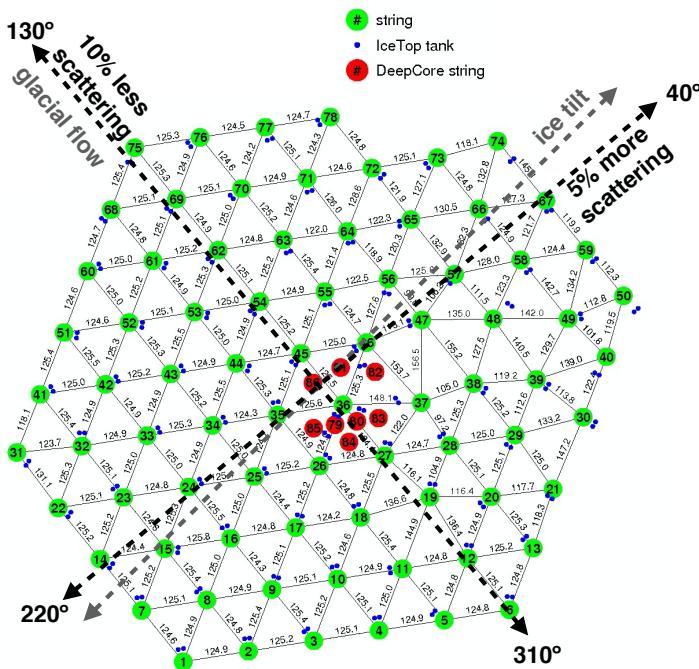


- Double Bang: two cascades in two clusters
- Signature can be mimicked by horizontal muon
- Sparse layout: blind spots (will improve with more clusters)

Baikal-GVD:  $E_1 = 8 \text{ TeV}$ ,  $E_2 = 4.7 \text{ TeV}$ ,  $L = 330\text{m}$ . Not a  $\nu_\tau$ .\*



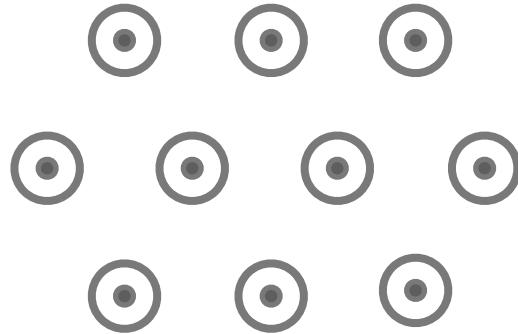
# Caveat: Photon Propagation



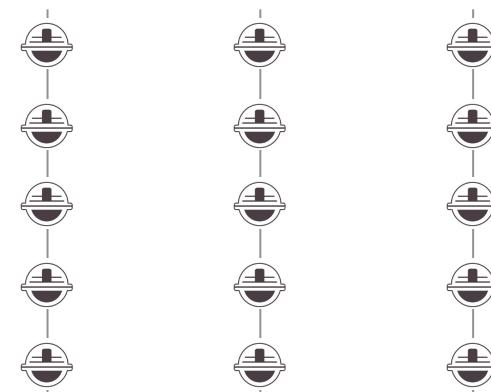
- IceCube: natural glacier
- Photons propagate further along glacial flow
- Can lead to cascade elongation
- Layers tilted, “dust” layer
- Reconstruction: isotropic photon propagation

# Caveat: Photon Propagation

- Can't break symmetries of photon propagation
- But can assume detector to be stretched\*!



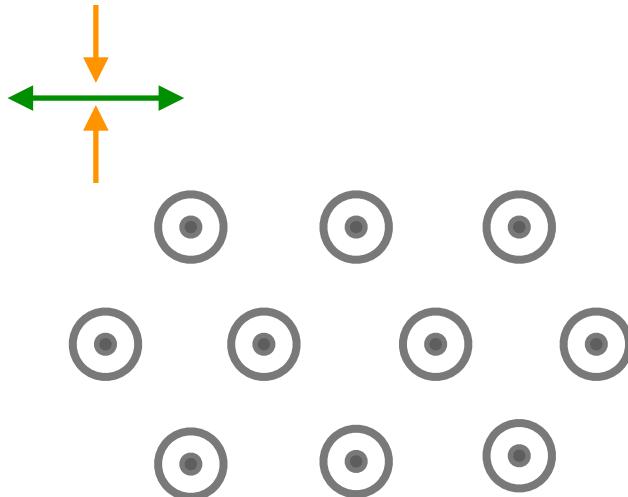
Anisotropy



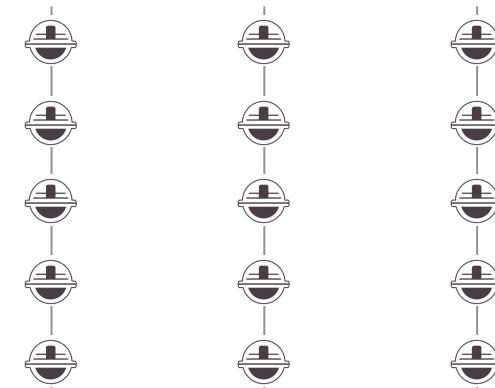
Tilt

# Caveat: Photon Propagation

- Can't break symmetries of photon propagation
- But can assume detector to be stretched\*!



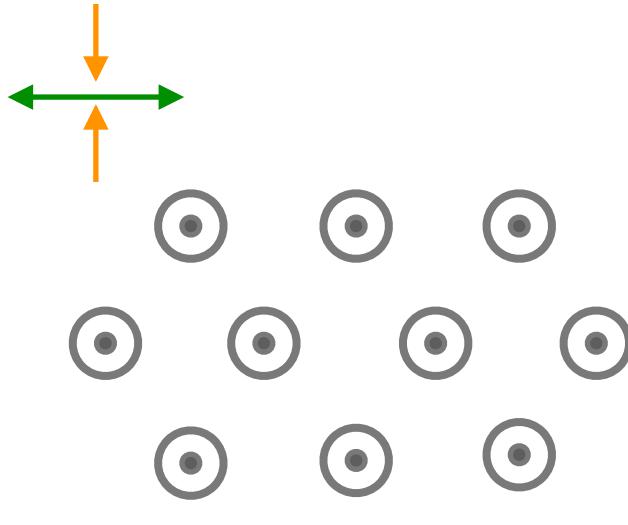
Anisotropy



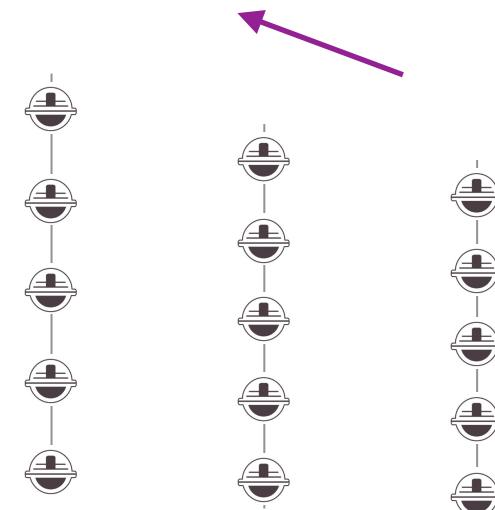
Tilt

# Caveat: Photon Propagation

- Can't break symmetries of photon propagation
- But can assume detector to be stretched\*!



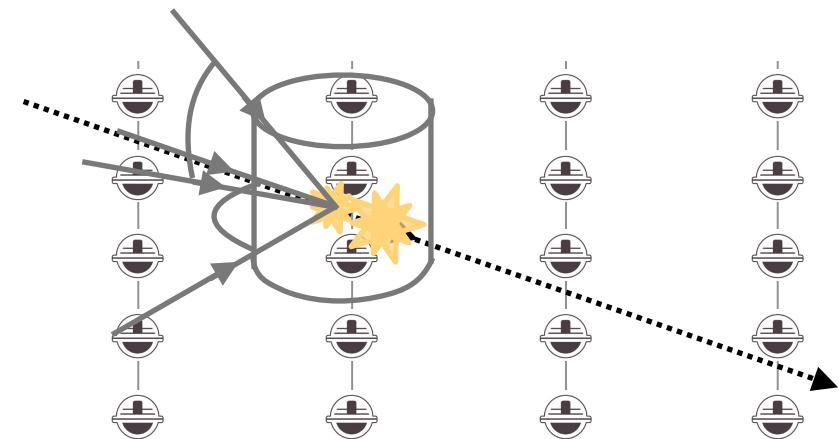
Anisotropy



Tilt

# Follow-up: Targeted MC Method

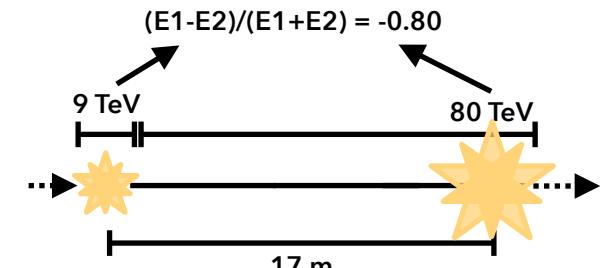
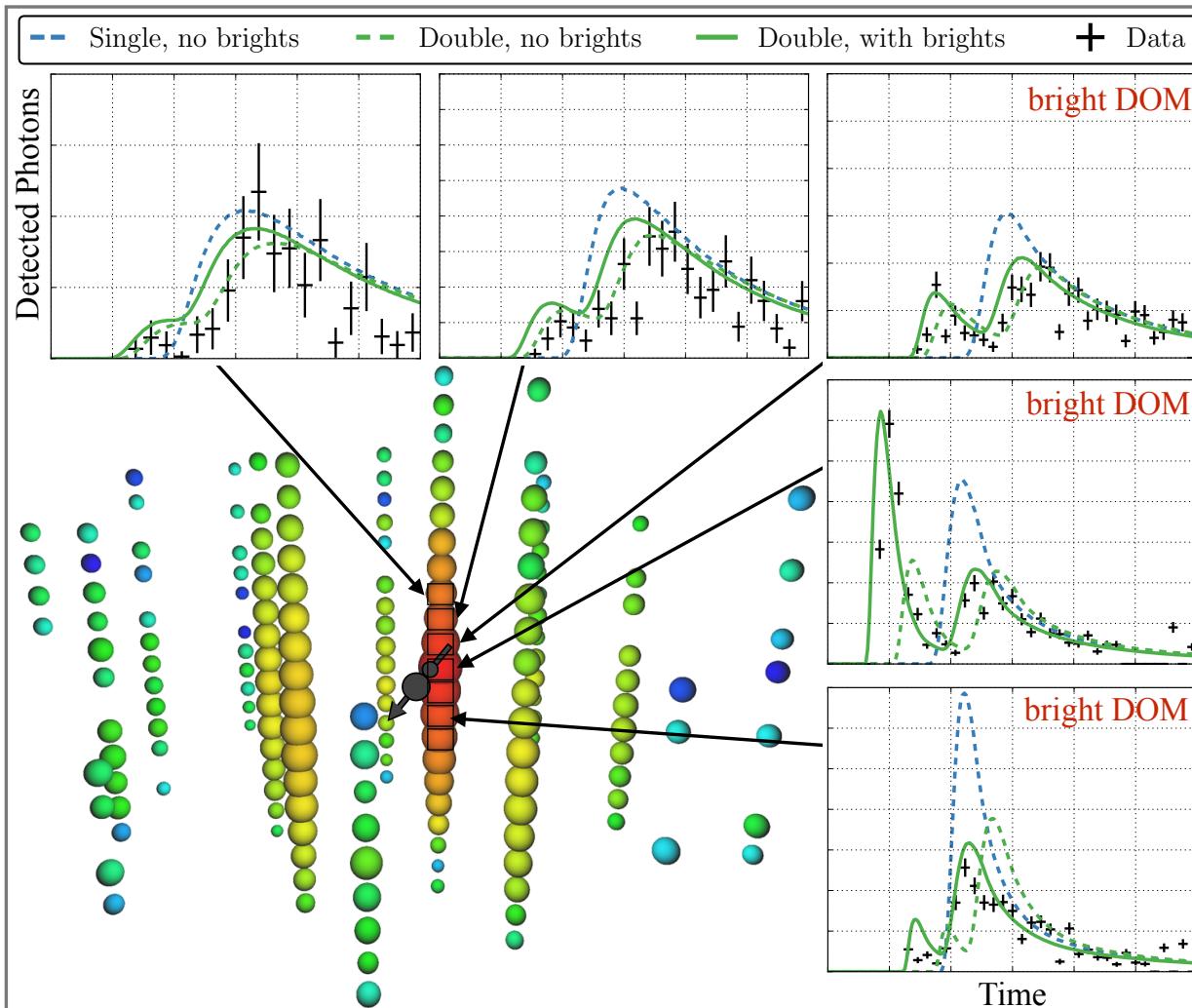
- Targeted MC production for  $\nu_\tau$  candidates in restricted parameter-space (energy, direction, interaction vertex) as
  - $\nu_e, \nu_\mu, \nu_\tau$
  - atmospheric  $\mu$  (Double Cascade)
- Double Cascade: Evaluation using multidimensional KDE,  
 DC #1: ~75% likely  $\nu_\tau$ , 25% likely  $\nu_e$   
 DC #2: ~98% likely  $\nu_\tau^1$
- Double Pulse: count number of events passing Double Pulse selection, using  $E^{-2.5}$  spectrum & ice model variations  
 best DP: >90% likely  $\nu_\tau^2$



**Rare events need targeted simulation!**



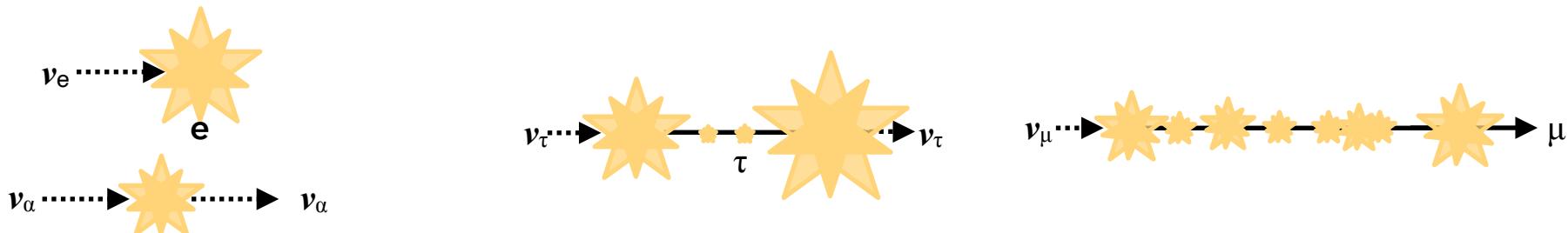
# First astrophysical $\nu_\tau$ : Double Double



- Observed 2014
- Found in complementary Double Cascade and Double Pulse searches
- Independent follow-up analyses confirm likely  $\nu_\tau$  origin, with different systematics, backgrounds etc.



# Flavor Composition Measurement



**Single Cascade**

$\nu_e$  CC, NC

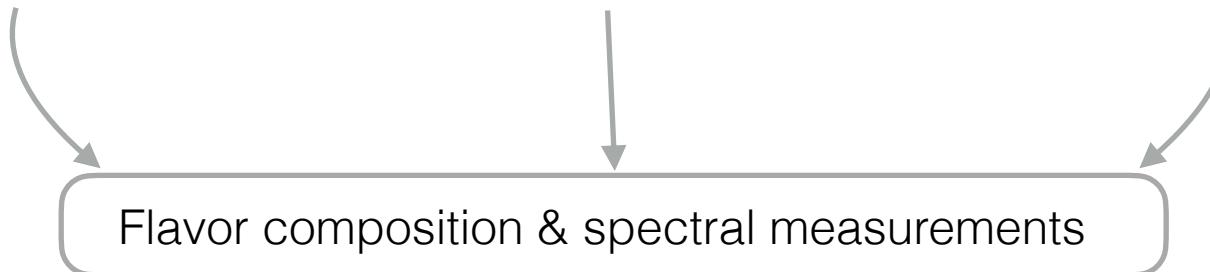
**Double Cascade**

$\nu_\tau$  CC

**Track**

$\nu_\mu$  CC

$$\langle L_\tau \rangle \sim 50 \text{ m} \cdot E_\tau [\text{PeV}]$$

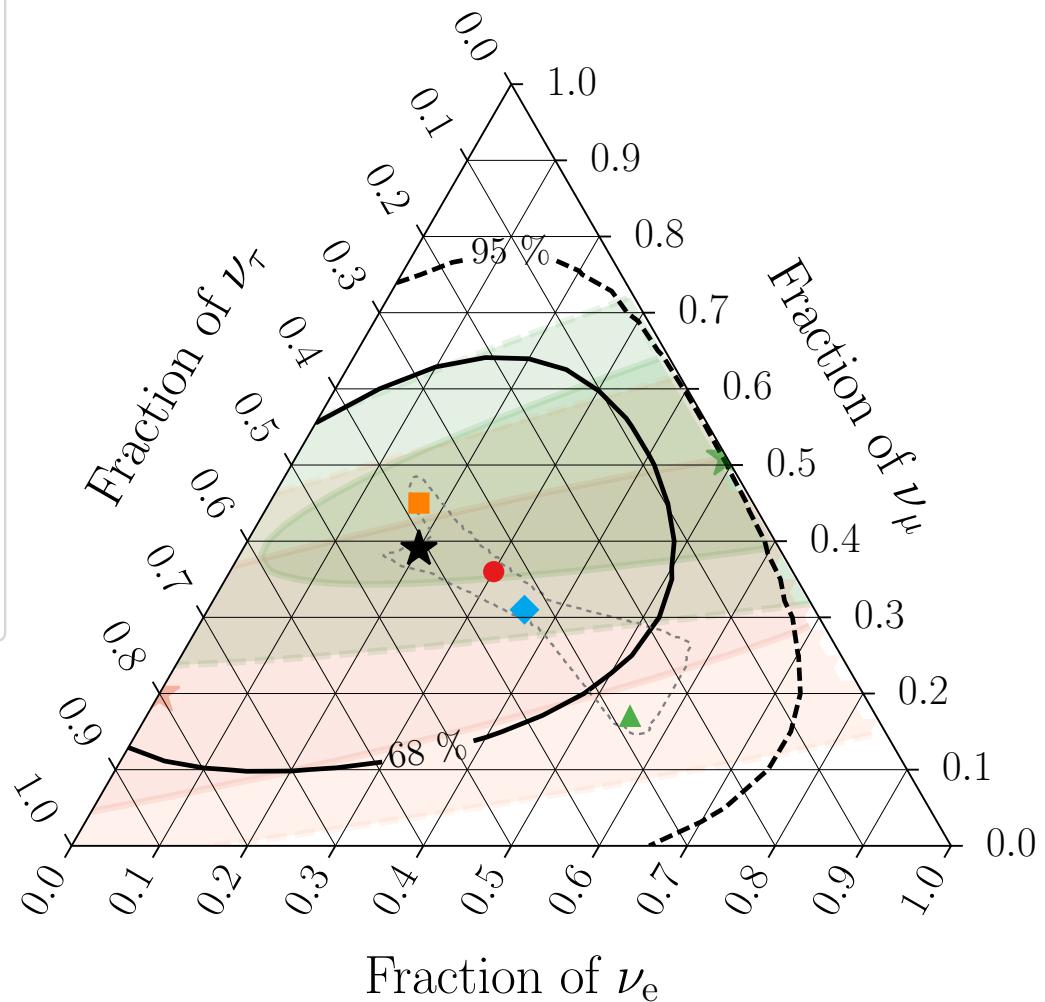


early → late



# Neutrino Flavor Composition

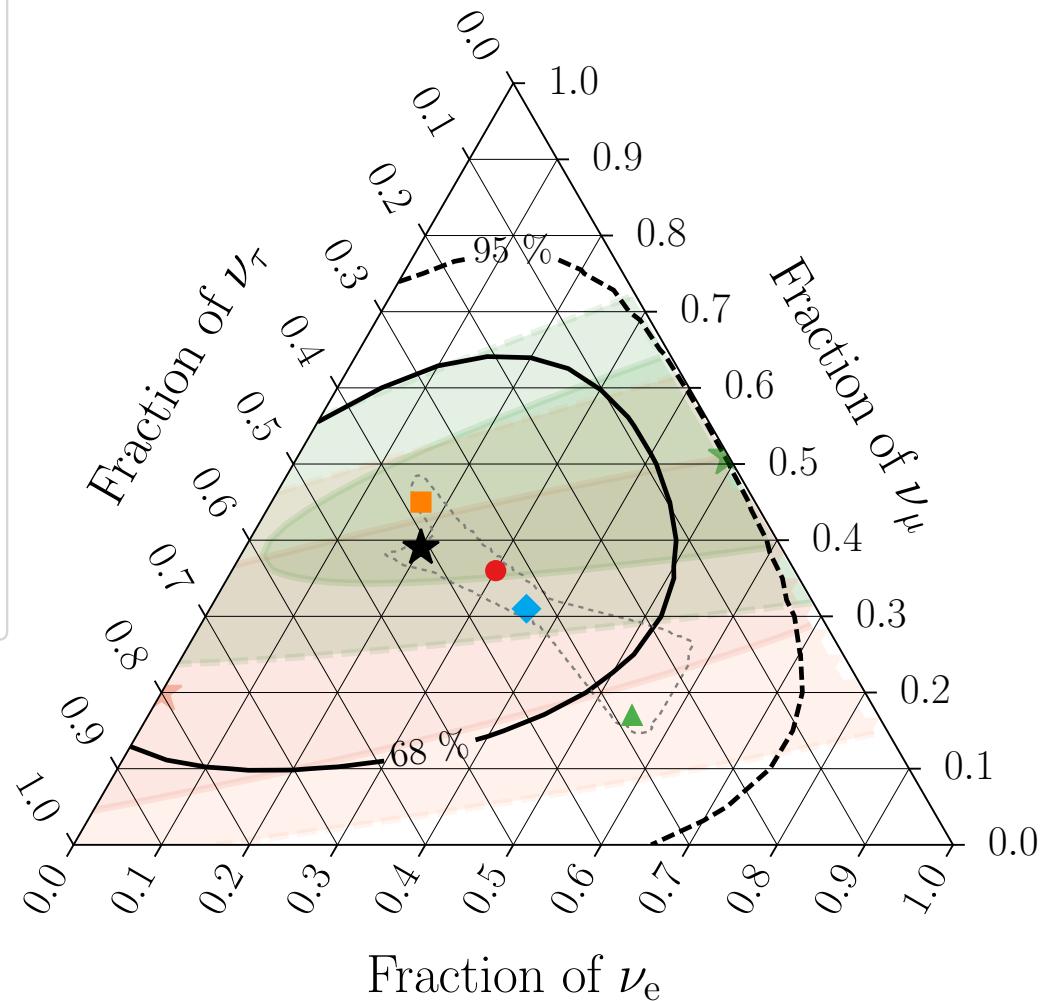
- HESE with ternary topology ID
- ★ Best fit: 0.20 : 0.39 : 0.42
- █ Global Fit (IceCube, APJ 2015)
- █ Inelasticity (IceCube, PRD 2019)
- $\nu_e : \nu_\mu : \nu_\tau$  at source  $\rightarrow$  on Earth:
  - 0:1:0  $\rightarrow$  0.17 : 0.45 : 0.37
  - 1:2:0  $\rightarrow$  0.30 : 0.36 : 0.34
  - ▲ 1:0:0  $\rightarrow$  0.55 : 0.17 : 0.28
  - ◆ 1:1:0  $\rightarrow$  0.36 : 0.31 : 0.33
- 3 $\nu$ -mixing 3 $\sigma$  allowed region





# Neutrino Flavor Composition

- HESE with ternary topology ID
- ★ Best fit: 0.20 : 0.39 : 0.42
- █ Global Fit (IceCube, APJ 2015)
- █ Inelasticity (IceCube, PRD 2019)
- $\nu_e : \nu_\mu : \nu_\tau$  at source  $\rightarrow$  on Earth:
  - 0:1:0  $\rightarrow$  0.17 : 0.45 : 0.37
  - 1:2:0  $\rightarrow$  0.30 : 0.36 : 0.34
  - ▲ 1:0:0  $\rightarrow$  0.55 : 0.17 : 0.28
  - ◆ 1:1:0  $\rightarrow$  0.36 : 0.31 : 0.33
- 3 $\nu$ -mixing 3 $\sigma$  allowed region

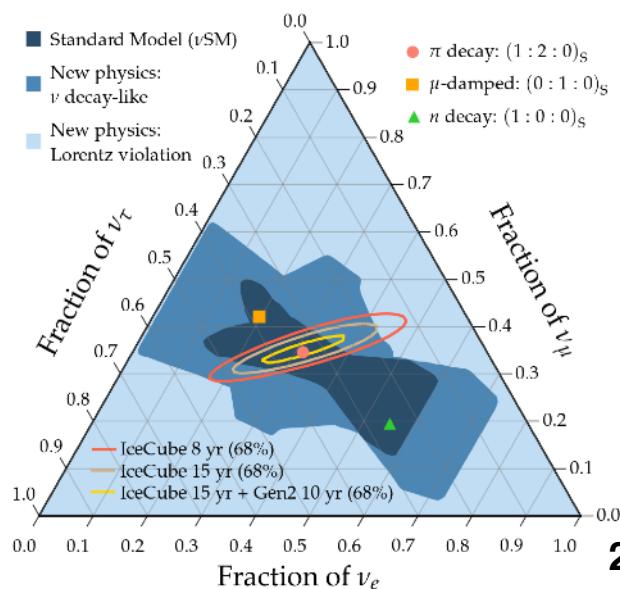
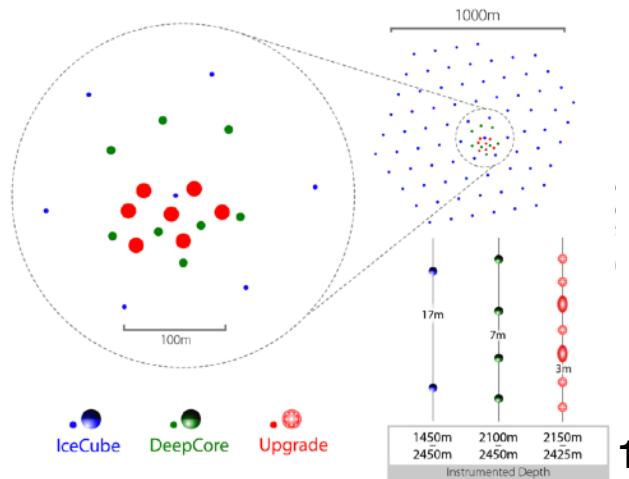


**Astrophysical  $\nu_\tau$  flux indicated at 2.8  $\sigma$  level**



# Near-Future Prospects: The 20s

## IceCube Upgrade



- Combination of multiple  $\nu_\tau$  identifiers?
- “Global Fit” of different event selections in a supersample, unified systematics treatment & reconstruction procedures
- Combination of multiple flavor-sensitive features
- Upgrade: new ice model: reduce systematic uncertainty, better  $\nu_\tau$  identification

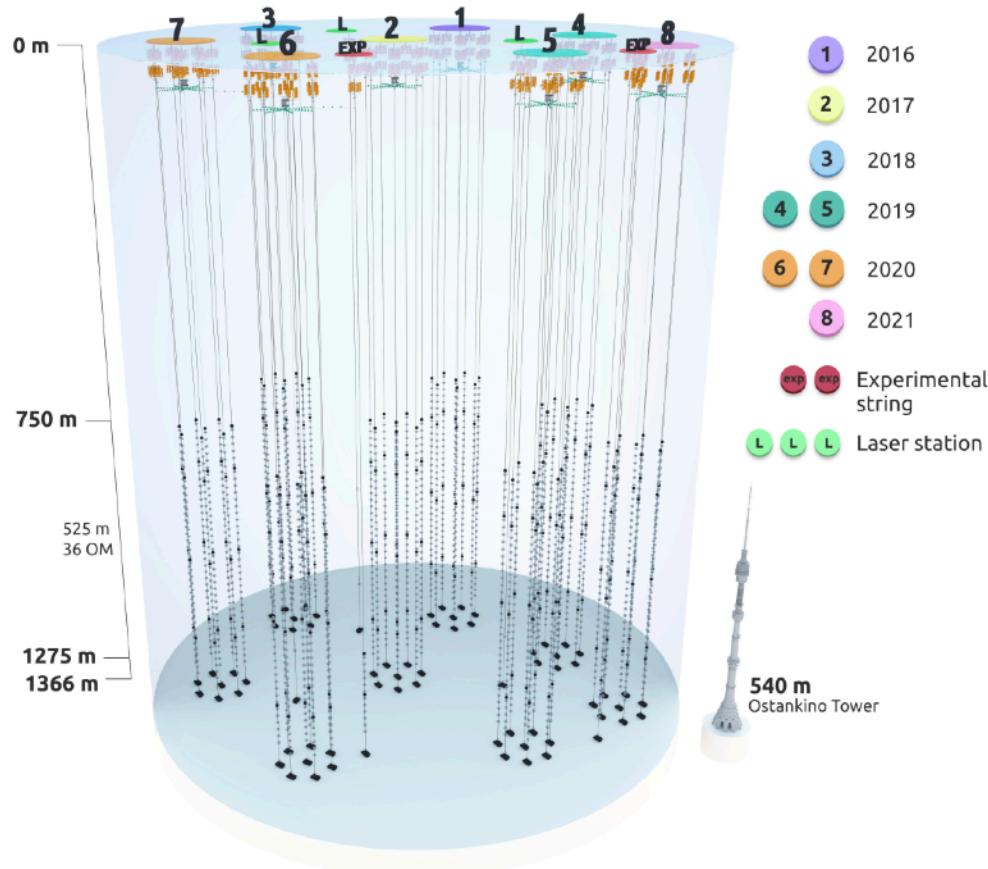
1. A. Ishihara, PoS(ICRC2019) 1039

2. M. Aartsen et al., J. Phys. G: Nucl. Part. Phys. 48 060501 (2021) 18



# Near-Future Prospects: The 20s

## Baikal-GVD

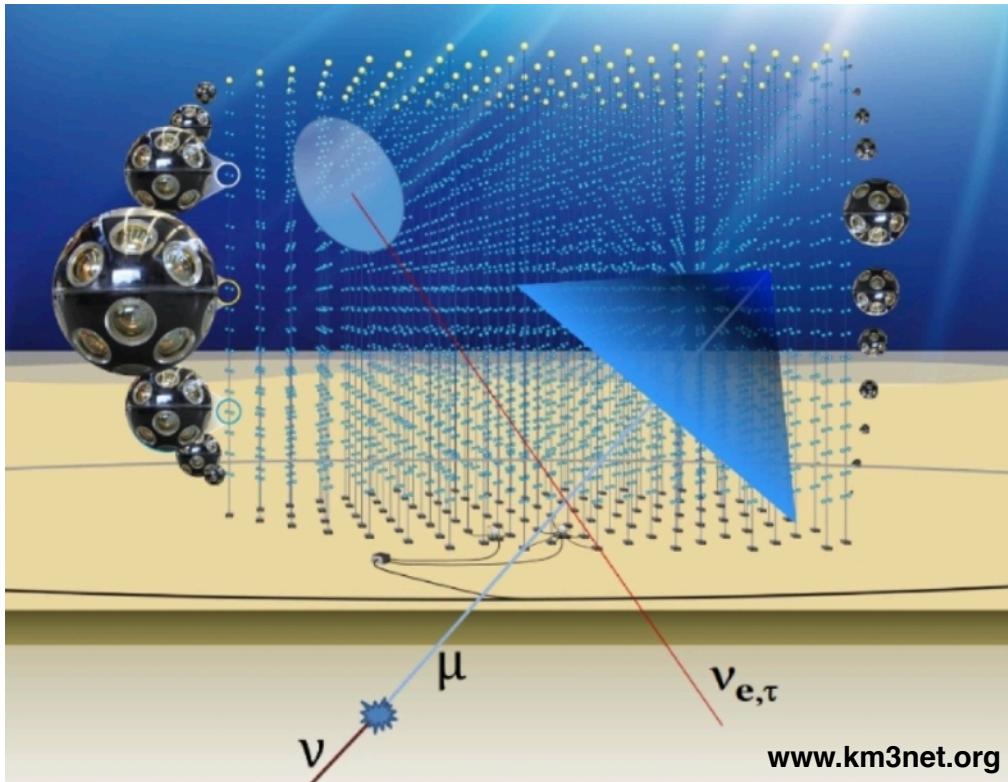


- 14 clusters with 0.8km<sup>3</sup> instrumented volume<sup>1</sup>
- Completion expected ~2024<sup>1</sup>
- Methods and Analyses are being developed
- Changing optical properties of lake water are studied<sup>2,3</sup>
- First results from construction phase

1. I. Belolaptikova, Zh.-A. Dzhilkibaev et al., PoS(ICRC2021) 002
2. E. Ryabov, B. Tarashanski, VL VnT2021
3. E. Eckerova, VL VnT2021

# Near-Future Prospects: The 20s

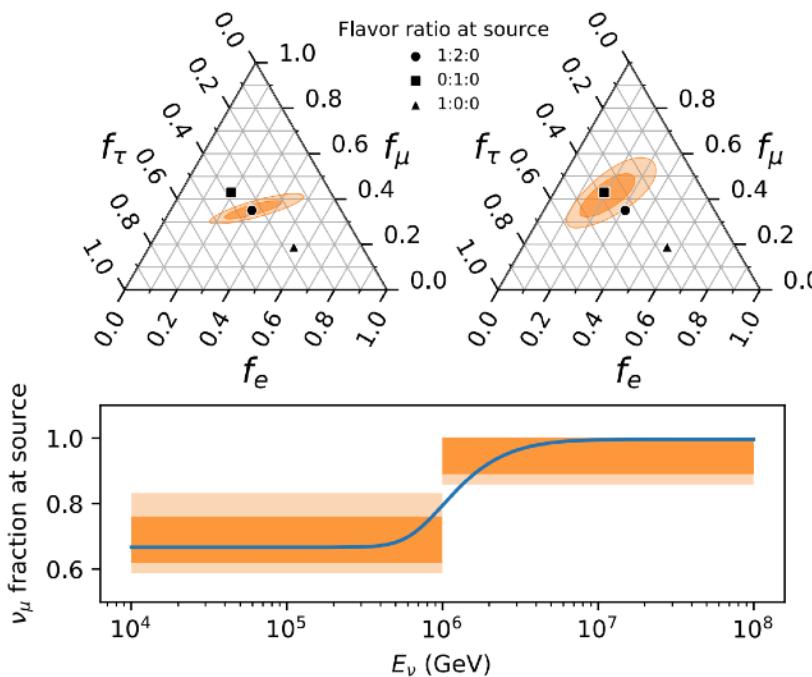
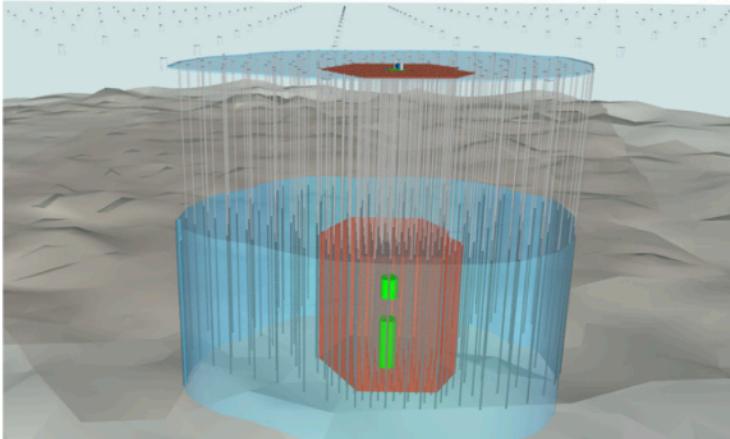
## KM3NeT/ARCA



- 2 blocks with 115 DUs and 0.5km<sup>3</sup> instrumented volume each\*
- Segmented sensors with directionality\*
- Methods and Analyses are being developed
- First results from construction phase

# The Next Decade

## IceCube-Gen2



- 120 new strings
- Order of magnitude more volume
- At least 5 times more  $\nu_\tau$  expected
- Better, segmented sensors with directionality
- Will be able to find transition in source flavor composition



# The Next Decade



**KM3NeT/ARCA**: 3rd building block planned



**Baikal-GVD**: extensions planned



**P-ONE**: currently pathfinder mission for km<sup>3</sup> experiment



# The Next Decade



**KM3NeT/ARCA:** 3rd building block planned



**Baikal-GVD:** extensions planned



**P-ONE:** currently pathfinder mission for km<sup>3</sup> experiment

- Refinement of tools, reconstruction procedures, neural network classifiers for realtime  $\nu_\tau$  astronomy
- Truly global fit to spectrum, flavor?

# The Next Decade



**KM3NeT/ARCA**: 3rd building block planned

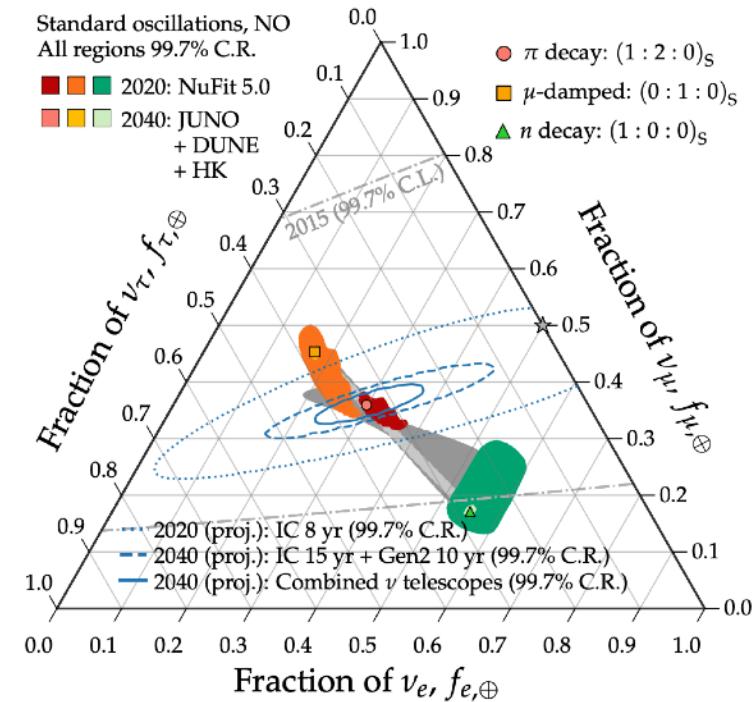


**Baikal-GVD**: extensions planned



**P-ONE**: currently pathfinder mission for km<sup>3</sup> experiment

- Refinement of tools, reconstruction procedures, neural network classifiers for realtime  $\nu_\tau$  astronomy
- Truly global fit to spectrum, flavor?



# The Next Decade



**KM3NeT/ARCA**: 3rd building block planned

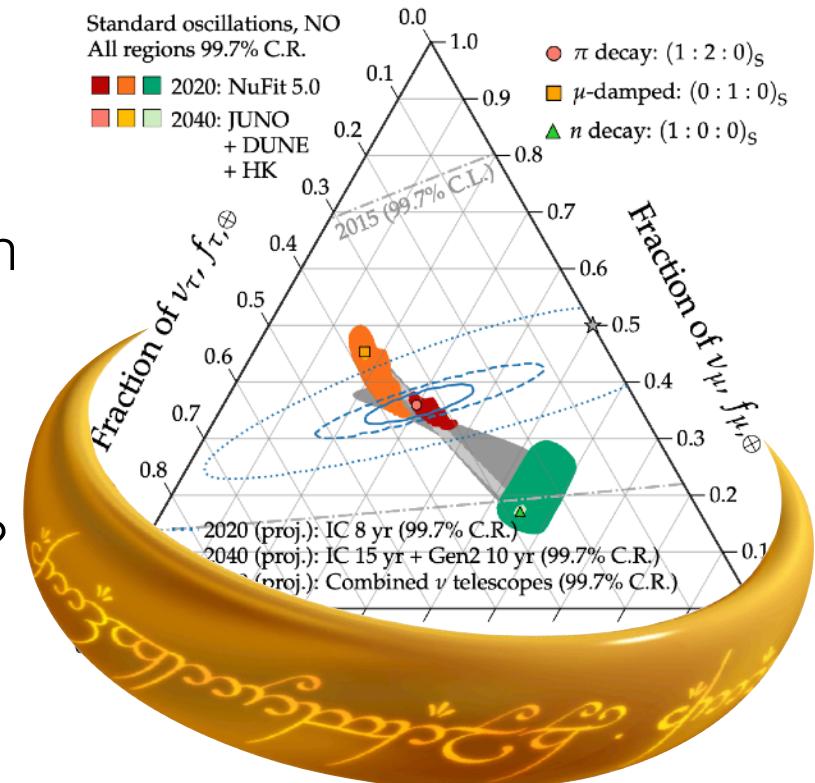


**Baikal-GVD**: extensions planned



**P-ONE**: currently pathfinder mission for km<sup>3</sup> experiment

- Refinement of tools, reconstruction procedures, neural network classifiers for realtime  $\nu_\tau$  astronomy
- Truly global fit to spectrum, flavor?



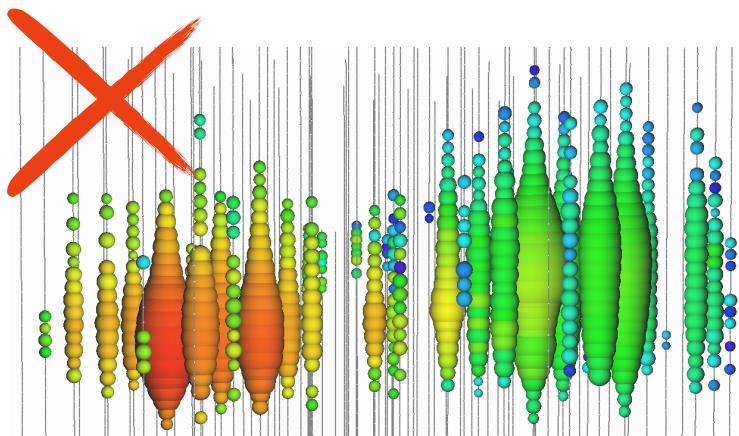
# Takeaway

---

- Reconstruction of  $\nu_\tau$  signatures is hard
- Currently only sensitive to signatures at high energies / lengths
- First astrophysical  $\nu_\tau$  seen in IceCube, first spectrum measured
- 2 detectors under construction, with  $\nu_\tau$  detection methods being established
- Next years: better understanding of systematic uncertainties, unified treatment of all-flavor, all-topology neutrinos (IceCube + Upgrade)
- Next decade: Combination of several telescopes for precise flavor composition measurement & BSM constraints,  $\nu_\tau$  astronomy, cross-section measurement

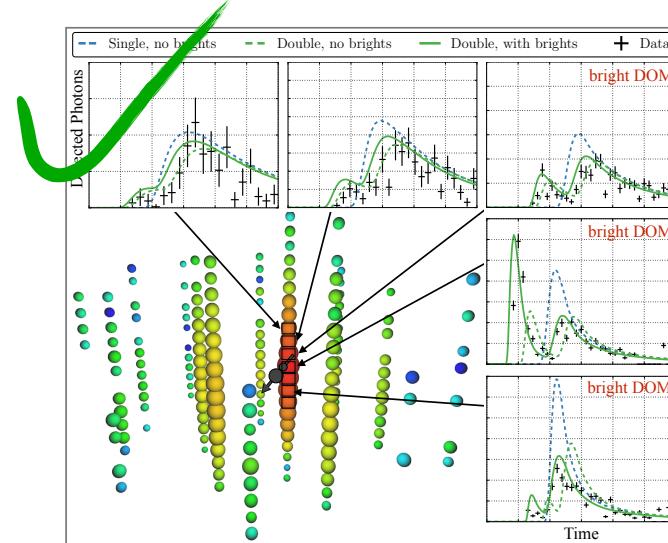
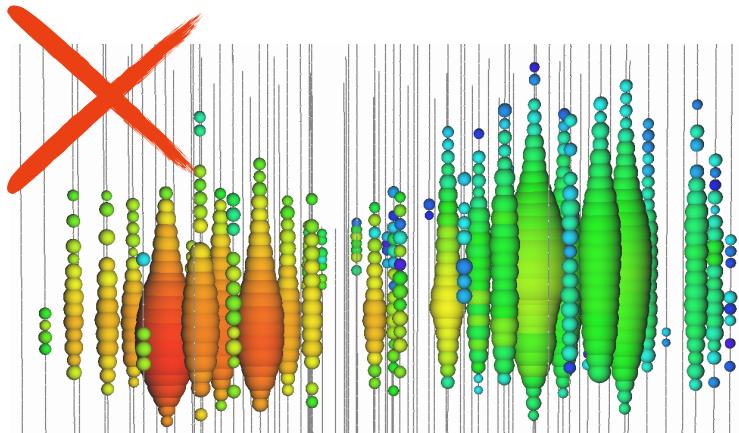
# Takeaway

- Reconstruction of  $\nu_\tau$  signatures is hard
- Currently only sensitive to signatures at high energies / lengths
- First astrophysical  $\nu_\tau$  seen in IceCube, first spectrum measured
- 2 detectors under construction, with  $\nu_\tau$  detection methods being established
- Next years: better understanding of systematic uncertainties, unified treatment of all-flavor, all-topology neutrinos (IceCube + Upgrade)
- Next decade: Combination of several telescopes for precise flavor composition measurement & BSM constraints,  $\nu_\tau$  astronomy, cross-section measurement



# Takeaway

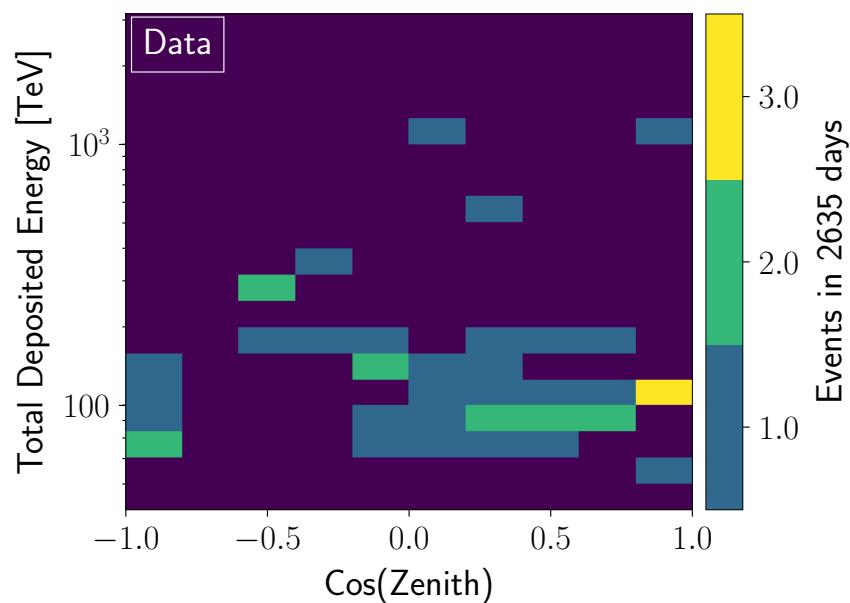
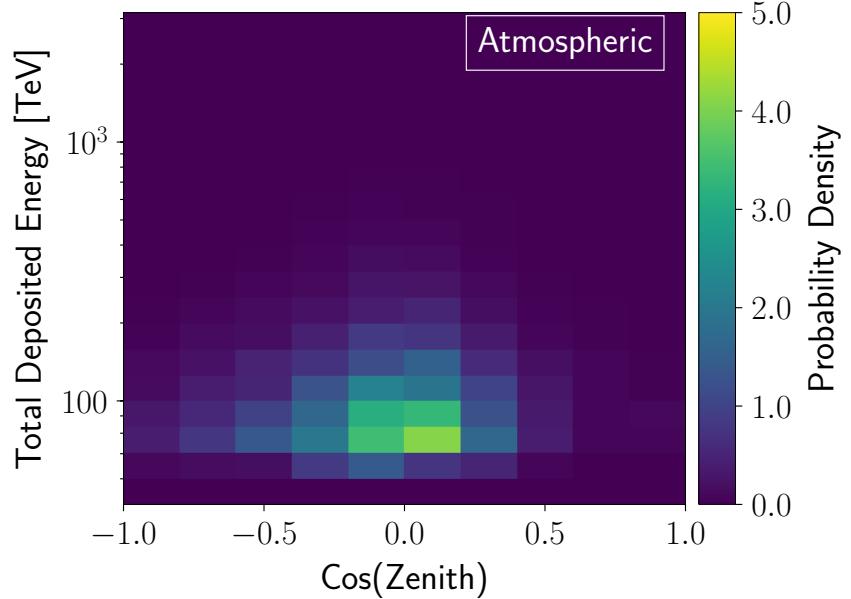
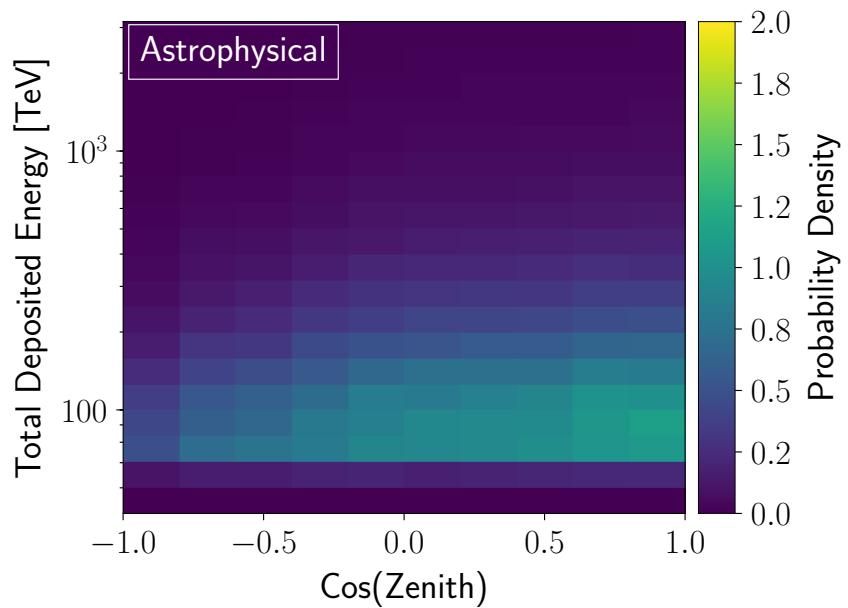
- Reconstruction of  $\nu_\tau$  signatures is hard
- Currently only sensitive to signatures at high energies / lengths
- First astrophysical  $\nu_\tau$  seen in IceCube, first spectrum measured
- 2 detectors under construction, with  $\nu_\tau$  detection methods being established
- Next years: better understanding of systematic uncertainties, unified treatment of all-flavor, all-topology neutrinos (IceCube + Upgrade)
- Next decade: Combination of several telescopes for precise flavor composition measurement & BSM constraints,  $\nu_\tau$  astronomy, cross-section measurement



# Back up

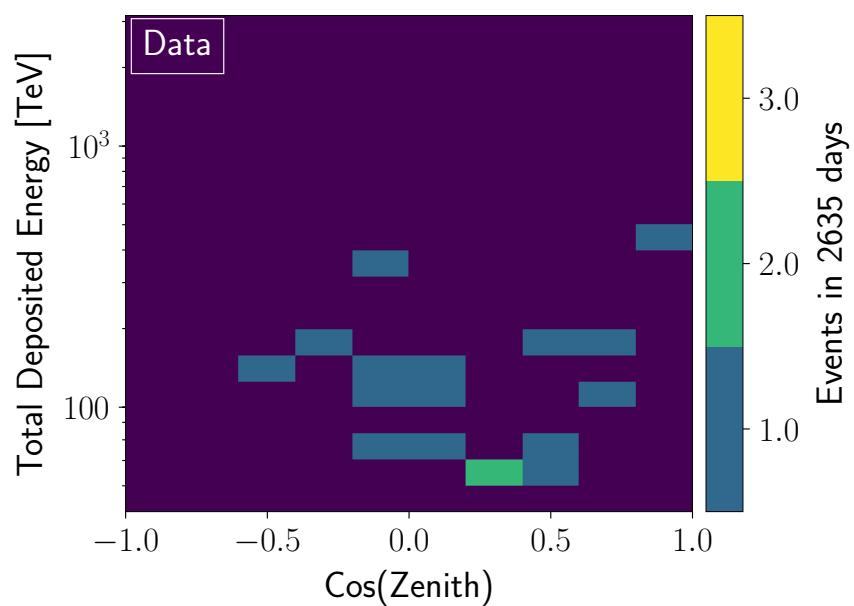
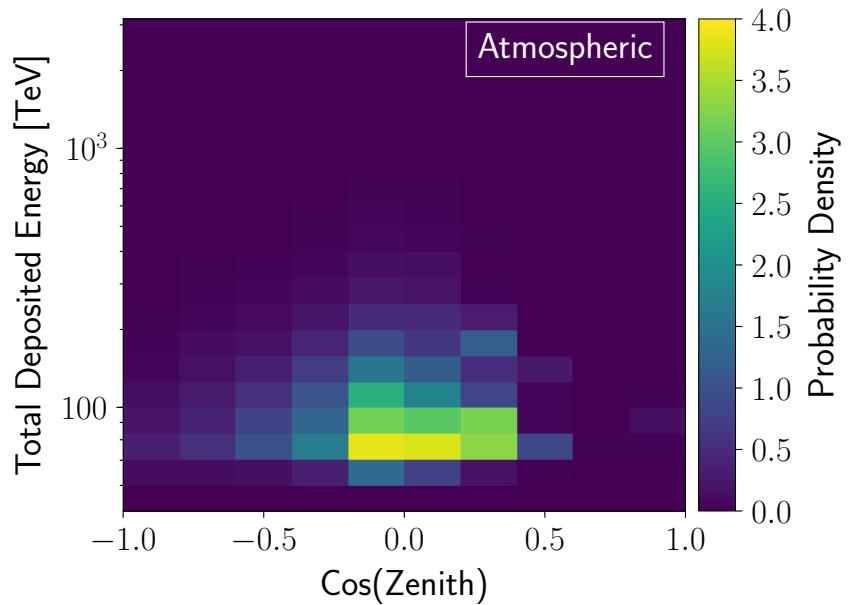
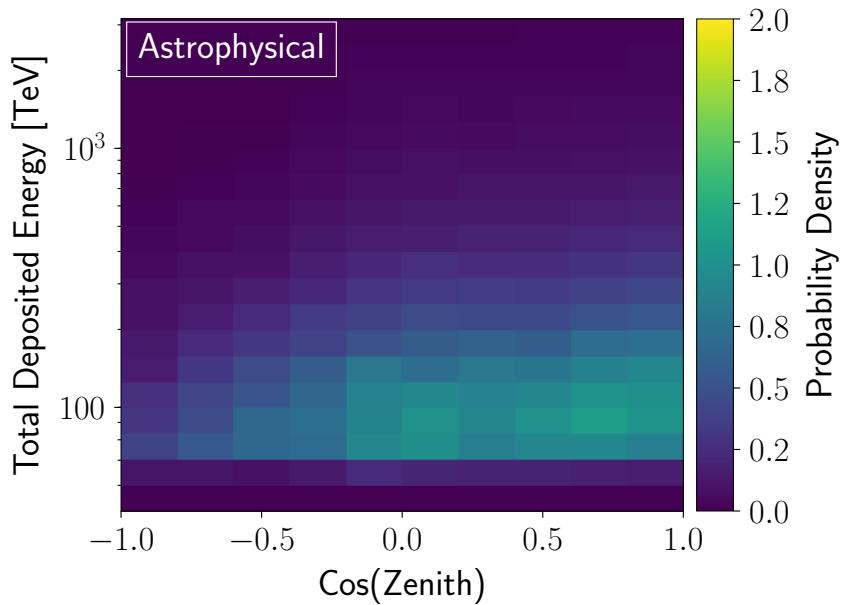
---

# Single Cascades



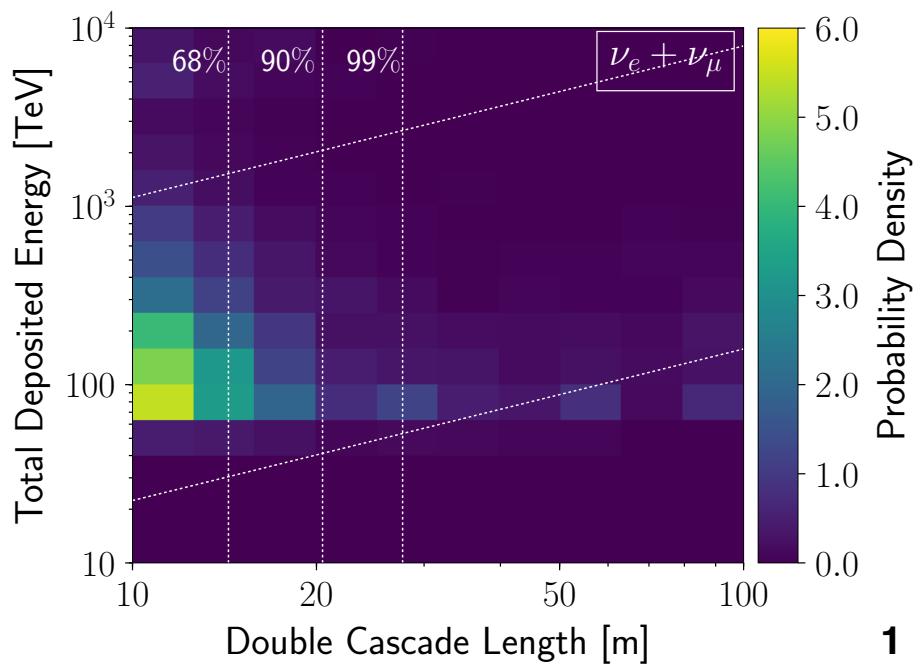
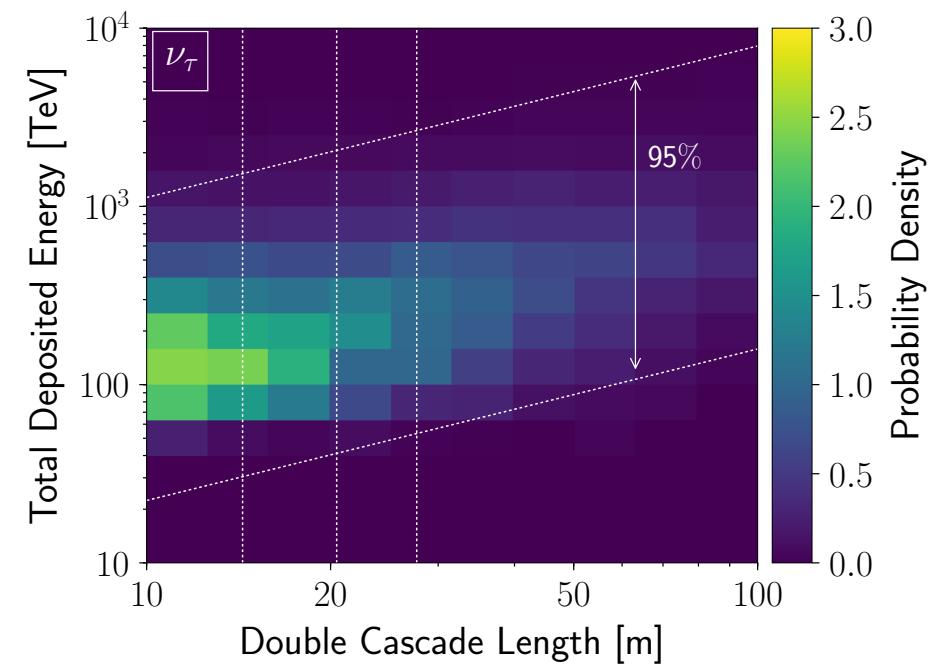
41 single  
cascades

# Tracks

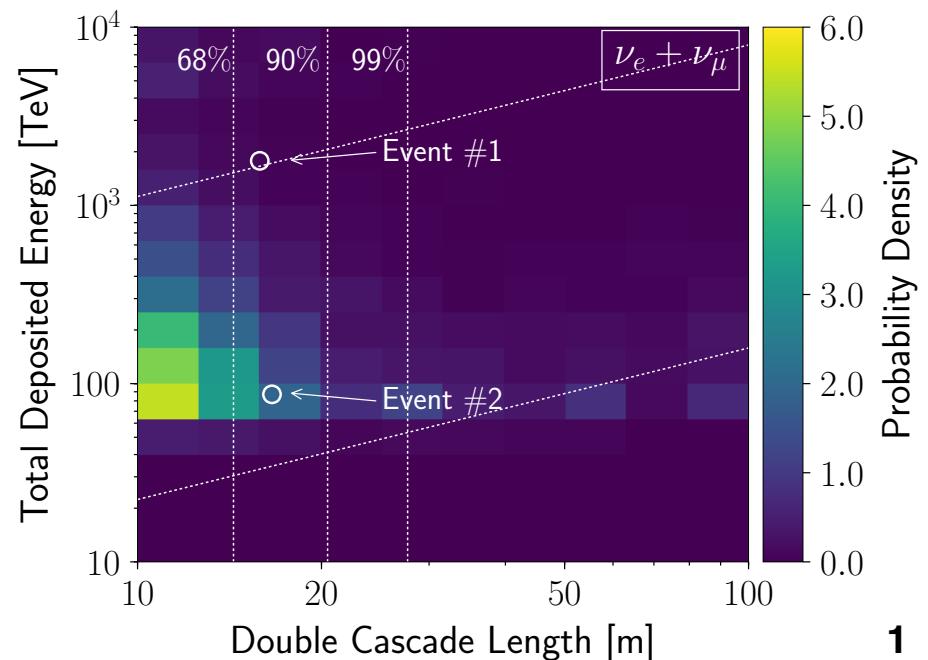
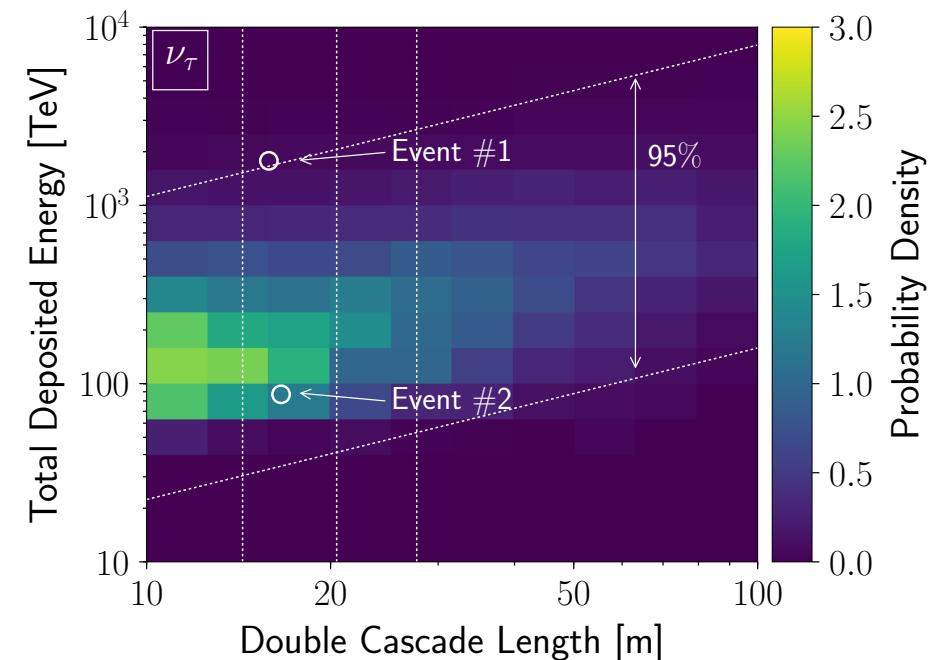


17 tracks

# Double Cascades



# Double Cascades



- 2 double cascades
- $\nu_\tau$  probability: ~0.6 per event, large uncertainties
- At spectral index 2.87, 1:1:1 flavor ratio<sup>2</sup> → expect ~2.3 events (~1.5 signal + ~0.8 background)